Adaptation effects in grasping the Müller-Lyer illusion

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| Running Head: | Adaptation to two illusion displays in grasping |
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| Manuscript overall word count: | 9596 (main text only: 6526). |

1 Abstract

2 Recent results have shown that effects of pictorial illusions in grasping may decrease over the 3 course of an experiment. This can be explained as an effect of sensorimotor learning if we 4 consider a pictorial size illusion as simply a perturbation of visually perceived size. However, 5 some studies have reported very constant illusion effects over trials. In the present paper, we 6 apply an error-correction model of adaptation to experimental data of N = 40 participants 7 grasping the Müller-Lyer illusion. Specifically, participants grasped targets embedded in 8 incremental and decremental Müller-Lyer illusion displays in (1) the same block in pseudo-9 randomized order, and (2) separate blocks of only one type of illusion each. Consistent with 10 predictions of our model, we found an effect of interference between the two types when they 11 were presented intermixed, explaining why adaptation rates may vary depending on the 12 experimental design. We also systematically varied the number of object sizes per block, which 13 turned out to have no effect on the rate of adaptation. This was also in accordance with our 14 model. We discuss implications for the illusion literature, and lay out how error-correction 15 models can explain perception-action dissociations in some, but not all grasping-of-illusion 16 paradigms in a parsimonious and plausible way, without assuming different illusion effects. 17

- 18 Keywords: Müller-Lyer illusion, grasping, adaptation, error correction.
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20 THIS PAPER HAS BEEN ACCEPTED FOR PUBLICATION IN VISION RESEARCH!

- For a full and up-to-date version, please visit http://doi.org/10.1016/j.visres.2017.05.004
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1 1. Introduction

2 1.1 Sensorimotor adaptation and visual illusions

3 When performing repeated motions towards a seen object, humans will rapidly become more 4 adept at this task, a fact already described over a hundred years ago (von Helmholtz, 1867; 5 Woodworth, 1899). It has been proposed that what is learned is an efficient transformation 6 between the visual input and the motor action required for the task, called a visuomotor mapping 7 (Soechting & Flanders, 1989). This holds true under natural conditions, but also when systematic 8 distortions are introduced, either of the visual input (e.g. through mirror setups, Säfström & Edin, 9 2004) or the motor output (Thoroughman & Shadmehr, 2000). Under such experimental 10 perturbations, participants rapidly adjust their visuomotor mapping to the task demands. 11 Specifically, this continuous adaptation process is believed to be driven by sensory error signals, 12 which can be defined as the difference between a predicted sensory outcome and the observed 13 outcome (Cheng & Sabes, 2006; Shadmehr, Smith, & Krakauer, 2010). 14 The prevalent use of visual perturbations in sensorimotor adaptation research creates a natural 15 intersection with another area of vision research. For the past 20+ years, researchers have been 16 investigating whether vision for conscious perception is processed in a fundamentally different 17 way to vision used for motor actions (Milner & Goodale, 1995, 2006). Much of the evidence in 18 favour of this theory has come from observations of neuropsychological patients (prominently, 19 Goodale et al., 1994; Goodale, Milner, Jakobson, & Carey, 1991), while evidence in healthy 20 participants has relied strongly on whether and to what degree skilled movements are affected by 21 visual illusions (Aglioti, DeSouza, & Goodale, 1995). This long-standing debate is still ongoing,

| 1 | as some authors (e.g., Goodale, 2014; Westwood & Goodale, 2011) emphasise that there is a |
|----|---|
| 2 | large body of literature where grasping has been dissociated from perception in visual illusions, |
| 3 | while others argue that when motor and perceptual tasks are well matched, no dissociation is |
| 4 | detectable (Franz, Gegenfurtner, Bülthoff, & Fahle, 2000; Kopiske, Bruno, Hesse, Schenk, & |
| 5 | Franz, 2016; Schenk, Franz, & Bruno, 2011). However, see also Smeets and Brenner (2006), for |
| 6 | a different interpretation that proposes that MGA is not an ideal variable to measure size |
| 7 | processing in grasping tasks. While measures other than MGA such as grasp position (Smeets & |
| 8 | Brenner, 1999, 2006) or grip force (Hesse, Miller, & Buckingham, 2016; Jackson & Shaw, 2000) |
| 9 | have certain advantages, our investigation focusses on the maximum grip aperture (MGA) due to |
| 10 | its popularity in the perception-action literature and its well-investigated relationship with object |
| 11 | size (Jeannerod, 1984, 1986; Smeets & Brenner, 1999). |
| | |

12 Several studies have investigated motor adaptation in some illusions (e.g., Buckingham & 13 Goodale, 2010; Flanagan & Beltzner, 2000; Glover & Dixon, 2001). However, the specific 14 question of whether the proposed smaller sensitivity of grasping to visual size illusions (Goodale, 15 2014) may be brought about by sensorimotor adaptation (i.e., an illusion effect decreasing over 16 repeated grasping trials, such that the mean value becomes smaller than the initial effect), as well 17 as mechanisms involved have until recently been barely addressed. Whitwell, Buckingham, Enns, 18 Chouinard, and Goodale (2016) reported that the effect of the Ponzo illusion on the MGA 19 decreases substantially over repeated grasping trials performed with full vision of the hand and 20 the object. Similar results were found by Cesanek, Campagnoli, and Domini (2016), also under 21 full-vision conditions, emphasising that illusion effects on grasping may indeed be reduced with 22 practice. If we consider a visual size illusion as in essence a distortion of perceived size, this is

exactly what we should expect according to the logic of sensorimotor adaptation. Over many
trials of repeatedly grasping objects within the same illusory context, participants may use
feedback to learn to adapt their grip scaling to the distortion, much like in visual size perturbation
paradigms testing grasp adaptation (e.g., Säfström & Edin, 2004). We should mention that this
interpretation is not undisputed, with Whitwell and colleagues (2016) interpreting their results as
learning to ignore parts of the illusion that might have been perceived as obstacles, rather than
learning a mapping between perceived and grasped size.

8 Another important point is that while a number of studies found learning effects in grasping 9 illusions, this is not a ubiquitous finding. For example, a study using the Müller-Lyer illusion and 10 the parallel-lines illusion (Franz, Fahle, Bülthoff, & Gegenfurtner, 2001) analysed illusion effects 11 over trials using linear regression, finding no illusion-effect decrease over the course of an 12 experiment. Similarly, reanalysing the open-access data from a recent large-sample study of the 13 Ebbinghaus illusion (Kopiske et al., 2016) also showed no signs of a decreasing illusion effect, 14 which raises the question what the difference may be between studies where a decrease occurs 15 (e.g., Cesanek et al., 2016; Whitwell et al., 2016) and studies where none occurs (e.g., Franz et 16 al., 2001; Kopiske et al., 2016).

17 *1.2 One illusion or many? Our study*

When comparing experimental designs between studies that found decreasing illusion effects – e.g., Whitwell et al.'s (2016) and Cesanek et al.'s (2016) experiments – and those that did not – e.g. Franz and colleagues (2001) and Kopiske and colleagues (2016) – some key factors stand out. While all experiments included illusion configurations with opposite (incremental vs.

| 1 | decremental) effects, these were always presented in the same position in Franz et al. (2001) |
|----|--|
| 2 | and in Kopiske et al. (2016), but always in different positions in Cesanek et al. (2016) and |
| 3 | Whitwell et al. (2016). However, effects of adaptation can be strongly reduced by spatial |
| 4 | separation between response positions (Ghahramani, Wolpert, & Jordan, 1996), such that |
| 5 | interference effects between adaptation to one illusion configuration and adaptation to the |
| 6 | opposite illusion configuration may also be strongly reduced (Woolley, Tresilian, Carson, & |
| 7 | Riek, 2007). Thus, one explanation is that interference would show strongly in the first case |
| 8 | (Franz et al., 2001; Kopiske et al., 2016) but less strongly in the second (Cesanek et al., 2016; |
| 9 | Whitwell et al., 2016), making it difficult to compare results from the two types of studies. |
| 10 | To investigate these issues, we conducted an experiment in which participants were asked to |
| 11 | grasp the Müller-Lyer illusion (fig. 1). Grasping always occurred in the same location, thus |
| 12 | maximizing the chance of observing interference effects. Blocks with both illusion configurations |
| 13 | were compared to blocks with only one illusion present, enabling us to compare adaptation rates |
| 14 | with and without the possibility of interference. We quantified adaptation and interference within |
| 15 | the computational framework of linear state-space models, which are commonly used in |
| 16 | adaptation experiments to measure error correction rates (Cheng & Sabes, 2006; Thoroughman & |
| 17 | Shadmehr, 2000). Using such a model enabled us to compare error correction parameters |
| 18 | between different blocks. We also analysed the interference between multiple illusion conditions |
| 19 | by fitting a generalization parameter that describes how errors experienced during movements |
| 20 | toward one target type influence movements toward another target type (cf. Ghahramani et al., |
| 21 | 1996; Krakauer, Ghez, & Ghilardi, 2005). Since other differences between previous studies |
| 22 | include the number of objects used (higher in Franz et al., 2001, and Kopiske et al. 2016, than in |

Whitwell et al. 2016 and Cesanek et al. 2016; four and five respectively, vs. two each), we also manipulated the number of objects per block to preclude this as a confounding factor (for an argument why this factor could matter, see Keefe & Watt, 2009), although our model would not predict this manipulation to affect adaptation.

5 Following these considerations, we hypothesised that participants should quickly be able to 6 learn to adapt their grasp to one, but not multiple opposite illusory size distortions in the same 7 location, and derived two testable hypotheses from this: (1) We should find the illusion effect 8 decreasing over trials, and (2) in our design, the decrease rate should be smaller with multiple 9 different illusion configurations (pseudo-)randomly interleaved per block. These hypotheses were 10 tested in a design using the Müller-Lyer illusion (fig. 1), an illusion with similar target shapes to 11 the Ponzo illusion, and known to induce a strong size illusion effect (Bruno & Franz, 2009). In 12 fact, the review by Bruno and Franz (2009) also found the number of trials to be negatively 13 correlated with the magnitude of the illusion effect, although this is not sufficient to infer some 14 learning mechanism. We tested grasping under three different conditions: (1) Having participants 15 grasp a single illusion configuration per block, with one single object size; (2) having participants 16 grasp a single illusion configuration per block, but with two object sizes; and (3) having 17 participants grasp both illusion configurations in the same block.

1 **2. Methods**

2 2.1 Sample and setup

We tested a sample of N=40¹ participants (between 18 and 32 years old, mean age = 20.4, righthanded by self-report), recruited at Brown University. Participants completed a simple reach-tograsp task that was approved by the Brown University Institutional Review Board. Participants gave written, informed consent, received course credit or \$8 per hour compensation, and their rights were protected according to the 1964 Declaration of Helsinki.

During the experiment, participants were seated on a height-adjustable stool. In front of them was a table with an armrest and a cardboard grip marking the starting position. Small posts with three infrared diodes each were attached to the thumb and index finger of the participants to track their motion in space at 85 Hz, using an Optotrak Certus motion tracking system (Northern Digital, Waterloo, Canada). Before the experiment, a calibration procedure was performed where participants pinched a predetermined position with their right thumb and index finger to enable us to calculate the position of the respective finger tips relative to the markers on the posts. The

¹ A formal power analysis was not conducted to compute the required sample size, since no single test statistic was decisive for our study and our main focus was on fitting the model. A total of 47 participants were tested, with data from 7 being removed from analysis due to incomplete data. Since adaptation over trials was one of our main concerns, invalid trials were only repeated a maximum of two times, after which the trial was recorded as invalid. If in any bin (see section 2.3) there were not enough trials to compute an illusion effect, the participant was marked as having incomplete data.

finger-tip positions were used in all subsequent analyses. During the experiment, participants 1 2 placed their head on a chin rest and were instructed to keep their gaze on a semi-transparent 3 mirror (with a removable back panel) in front of them, which allowed the simultaneous presentation of real objects (placed on a carousel app. 50 cm in front of the participants) and 4 5 virtual objects presented on a 19" CRT monitor running at 85 Hz in the same location (see figure 6 1a). The objects to be grasped were cuboids of 40 or 45 mm length and a 13mm*13mm base. The 7 size of the virtual stimulus always corresponded with the size of the physical object, and an 8 infrared diode on the back of each physical object allowed us to ensure perfect alignment of the 9 virtual and physical object. In the illusion trials, fins of 4 mm * 16 mm extruded from the virtual 10 display at an angle of either 45 or 135 degrees relative to the vertical dimension to induce the 11 Müller-Lyer illusion (see figure 1b). The stimuli were presented in a custom C++ program using 12 OpenGL. The back panel of the mirror was inserted after ensuring visual-physical alignment in 13 order to block participants' vision of the real objects as well as the hand during non-practice trials 14 of the experiment.



Figure 1: Setup and illusion configurations a: A schematic view of the setup with participants looking at a mirror showing the reflection of the screen in the same position as the stimulus behind the mirror. Reach-to-grasp movements were executed from a fixed starting position to a fixed object position. This position was to the bottom right of the object. b: Müller-Lyer illusion, as used in the experiment. The virtual stimuli shown in the experiment were red. During trials where no illusion was presented, participants saw a plain red rectangle in the position of the object.

7 2.2 Procedure

8 Participants were instructed to keep their right hand in a starting position on the table in front of 9 them, pinching a cardboard grip and waiting for a start beep to start their grasp. A virtual stimulus 10 (see Figure 1; a red rectangle with fins for illusion trials, and without fins in practice, baseline, 11 and washout trials) appeared at the same time as the start beep. After each beep, they reached 12 toward the object in front of them and grasped it along its vertical axis with their thumb and 13 index finger, before returning to the same starting position. Participants did not see their right 14 hand during grasping, but continued to see the virtual object until the physical object was 15 touched. Unlike some previous studies (e.g., Whitwell et al., 2016), we chose not to provide 16 online visual information since we know that this can diminish illusion effects on the MGA, 17 which would reduce the sensitivity of our methods. The visual object in front of them 18 disappeared when a target-reached criterion was met (see section 2.3 for details). The maximum 19 time to complete the grasp was set at 3000 msec (this maximum was virtually never reached; 20 mean movement time was 1019 msec). If this time was exceeded, or participants had started the 21 trial before the start beep, or if the finger markers were not visible to the Optotrak for too large 22 portions of the movement (> 20% of frames during movement), participants heard a high-pitched 23 beep to indicate that the trial was invalid. Invalid trials were repeated a maximum of two times, 24 after which they were marked as not completed (among the final sample, this applied to a total of 25 27 trials, or 0.2%).

| 1 | The experiment consisted of five blocks for each participant, each consisting of a baseline |
|----|---|
| 2 | phase (12 trials), a test phase (20 or 40 trials), which was when the illusion displays were |
| 3 | presented, and a washout phase (12 trials): Two one-size, one-illusion blocks, in each of which |
| 4 | the test phase consisted of one object size embedded in one of the two illusory configurations in |
| 5 | 20 consecutive trials (such that both configurations were grasped in this type of block), two two- |
| 6 | sizes, one-illusion blocks with 20+20 trials of two different objects (40 and 45 mm, presented in |
| 7 | an intermixed, pseudo-randomised order) embedded in the same illusion configuration, and one |
| 8 | one-size, two-illusions block of 20+20 trials of the same object embedded in two different |
| 9 | illusion configurations (again intermixed, pseudo-randomised). The trial order was pseudo- |
| 10 | randomised by using cycles containing all combinations of size*illusion configuration in random, |
| 11 | not predetermined order. The order of blocks was determined by using a row-balanced |
| 12 | combination of two 5*5 Latin squares. This resulted in a total of 280 trials for each participant, |
| 13 | with a Müller-Lyer illusion presented in 160 of them. Prior to the first block, participants were |
| 14 | given an undetermined small number (<10) of practice blocks with vision of their hand, before |
| 15 | the mirror's occluding back panel was in place. They were then given an unspecified number of |
| 16 | further practice trials, until they felt comfortable executing the task. During practice, no illusion |
| 17 | display was presented, and trials were not recorded. |

18 2.3 Data processing and analysis

Kinematic data were analysed in R (R Core Team, 2015). For each grasp, we extracted the
MGA, response time, movement time, and time to MGA from the raw, unfiltered frame-wise
data. The start of the grasping movement was determined through a position criterion relative to

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the starting position (thumb and index finger > 30 mm away from starting position), while the
end of the grasp was determined through an aperture criterion (within 10 mm of the object size,
aperture velocity < 5 mm/sec for at least 60 msec).

4 Our main dependent variable was the MGA. Thus, we submitted all MGAs to a 2*3*2 repeated-5 measures ANOVA with factors object size, illusion angle (which had two levels representing the 6 illusion configurations, and one level representing the lack of illusory fins), and experiment 7 subset (first vs. second half of trials). Greenhouse-Geisser epsilons and corrected p-values 8 (Greenhouse & Geisser, 1959) were computed for factors with more than two levels. This was 9 followed by an analysis of the overall illusion effect, as well as the illusion effect over bins of 10 trials, which we computed as units comprising of two trials per configuration, the reason being 11 that this was the smallest unit for which an illusion effect could be computed while still allowing 12 for single invalid trials. Binning the illusion effect in such a way allowed us to then run a linear 13 regression of illusion effect over bins to investigate a possible decrease in illusion effect over 14 time (as was done in Franz et al., 2001).

The illusion effect is typically computed as the difference of MGA in mm between grasps toward objects within the incremental (fins outwards) illusion and grasps toward objects within the decremental (fins inwards) illusion. To be able to compute a within-subject illusion effect over time, that is, for individual bins in which the number of trials in each size and configuration may not be balanced (e.g., due to single missing trials), we used an additional layer in which we calculated the mean MGA difference between grasps toward objects embedded in the incremental illusion and non-illusory objects of the same size, and the mean difference between grasps toward

12

1 non-illusory objects and objects within the decremental illusion. The sum of these differences

2 was used as the overall illusion effect. A slope-corrected illusion effect was calculated in % of

3 object size divided by the responsiveness of grasping (see Bruno & Franz, 2009; Franz,

4 Scharnowski, & Gegenfurtner, 2005), primarily to enable an easier comparison to other studies,

5 as slope-correction is not necessary for comparisons within one measure. We calculated the

6 corrected effect with the formula

7 (1)
$$i_{corr} = \frac{i_{raw}}{s} * \frac{100}{l}$$

8

and the standard error by using a Taylor-approximation (see Franz, Hesse, & Kollath, 2009),

9 (2)
$$SE_{icorr} = \frac{i_{raw}}{s} * \sqrt{\frac{\sigma_s^2}{s^2} + \frac{\sigma_i^2}{i_{raw}^2} - \frac{2\sigma_{is}}{i_{raw}^{*s}}} * \frac{100}{l}$$

10 with i_{corr} = the corrected illusion effect, i_{raw} = the raw illusion effect, s = the mean slope 11 (responsiveness) of the MGA, l = the mean object size (length), σ_s = the SEM of the slope, σ_i = 12 the SEM of the raw illusion effect, σ_{is} = the between-subject covariance between the illusion 13 effect and the MGA-slope.

14 2.4 The error correction model

The process of visuomotor adaptation studies is commonly formalized with linear state-space models (Cheng & Sabes, 2006; Thoroughman & Shadmehr, 2000). Briefly, the point of this type of model is to update an internal state on a trial-by-trial basis according to experienced movement errors (or two states, see e.g. Taylor, Wojaczynski, & Ivry, 2011). The main goal of our study was modelling the effect of such a mechanism on grasping a visual illusion and propose a 1 mechanism for the sometimes-observed motor learning in such designs. As was done by

2 Cesanek et al. (2016), we modelled grasp planning with a linear function that maps perceived

3 sizes onto MGAs, where the intercept parameter is a dynamic internal state (x_n) and the fixed

4 slope (α ; Säfström & Edin, 2005) is estimated for each individual by linear regression of object

5 sizes onto MGAs observed during baseline. Thus, the planned MGA would be calculated as

6 follows:

7 (3)
$$MGA_{planned} = x_n + \alpha * (l + \omega),$$

8 with x_n = an internal state, α = the slope of the response function, l = object length, and ω 9 representing a visual perturbation induced by the illusion. States were updated based on two 10 processes, (1) error correction and (2) decay of the previous state. This was modelled using the 11 following equation:

12 (4a)
$$x_{n+1} = a * x_n - bC * e_n$$

13 where a = a retention parameter indicating the stability of the state over consecutive trials or the 14 rate the state 'decayed' toward the initial state, x_n = the state for the n-th trial, bC = an error correction parameter representing the amount of learning from error in previous trials, and $e_n =$ 15 16 the error on the n-th trial, defined simply as the difference between the planned MGA (modelled 17 from the current state and the visual object size) and the mean MGA. Note that such an error 18 would be observed upon touching the object, not visually during the movement, since participants 19 had no vision of their hands. To model the situation where two opposite illusion configurations were presented in interleaved order, we expanded the model to include two separate states x_n^D and 20

1 x_n^I for the decremental and incremental illusion configuration, respectively, as well as

2 separate error terms e_n^D and e_n^I and an error generalization parameter bG:

3
$$(4b) \begin{bmatrix} x_{n+1}^D \\ x_{n+1}^I \end{bmatrix} = \begin{bmatrix} a & 0 \\ 0 & a \end{bmatrix} * \begin{bmatrix} x_n^D \\ x_n^I \end{bmatrix} - \begin{bmatrix} bC & bG \\ bG & bC \end{bmatrix} * \begin{bmatrix} e_n^D \\ e_n^I \end{bmatrix}.$$

On each trial, only one illusion configuration was presented; thus, one state may be considered the 'active' state and one the 'inactive' state, and one of the error terms (corresponding to the 'inactive' state) is set to 0. Multiplying the matrices shows that each state is updated to the previous state for this particular configuration multiplied by *a*, minus either (a) the error term multiplied by *bC* (for the 'active' state) or (b) the error term multiplied by *bG* (for the 'inactive' state). Thus, the error generalization parameter indicates the degree to which error in one type of illusion configuration updates the state used for grasp planning toward the other configuration.

To be able to compute a planned MGA for the first trial, we also included the initial state as a parameter in the model. This results in four free parameters in the case of one-illusion blocks (retention parameter *a*, error correction parameter *bC*, initial state x_0 , and perturbation ω), and six in the two-illusion block (an additional error correction generalization parameter *bG*, and two perturbation parameters ω^D and ω^I instead of one). These parameters were bounded to be within realistic ranges – for details, as well as an example of how a state and the predicted MGA would be calculated, see the appendix.

These parameters can be interpreted in a very straightforward way: The perturbation parameters represent the (not measurable) underlying perceptual illusion effect in mm, the error correction parameters quantify what proportion of movement planning error is corrected on a given trial,

and the decay parameter indicates the proportion of adaptation that is carried over to the next 1 trial (thus slowing down learning when it is < 1, as well as giving a natural asymptote for the 2 3 measured illusion effect over time). They also serve to express most of our predictions. Firstly, 4 we expected positive error correction parameters, both for bC (indicating participants correcting 5 previous over- or underestimation of object size) and bG (indicating interference between illusion 6 conditions, such that an observed error in the opposite illusion on the previous trial might still affect the next trial, only in the wrong direction). We also expected ω^D and ω^I to be negative and 7 8 positive, respectively, if the model were to faithfully represent the illusion. We had no predictions 9 for parameters a and x_0 .

10 **3. Results**

11 In the full group of 47 participants, 768 trials (5.8%) were missing due to the specified 12 maximum of two times that an invalid trial could be repeated; however, the vast majority of these 13 (741 trials) were among the participants excluded due to missing data, see section 2.1 and 14 footnote 1. A total of 47 trials (0.4% of all trials) were removed from analyses as outliers due to 15 not having a valid MGA (i.e., the measured MGA being smaller than the object presented), and 16 another 68 trials (0.6%) for being greater than 4 SD removed the participant's mean MGA. This 17 is a slightly more liberal criterion than typically used – this is because much of our analyses 18 relied on individual trials instead of cell means, so we wanted to eliminate only obviously 19 extreme outliers. These exclusion criteria left us with 40 participants with data that allowed us to 20 compute illusion effects over time (section 2.1, footnote 1). Of the data from these participants,

| 1 | 89 trials total (0.8%) were either not completed (27 trials) or had to be removed from analysis |
|----|---|
| 2 | (62 trials). On average, participants reached the target after 1019 msec, with the MGA occurring |
| 3 | on average after 58% of the movement time. Using MGA as the dependent variable, we then |
| 4 | conducted a 2*3*2 repeated-measures ANOVA on the remaining data, which revealed a main |
| 5 | effect of factors <i>object size</i> ($F(1, 39) = 42.41$, $p < .001$), <i>fin angle</i> ($F(2, 78) = 24.29$, $\varepsilon_{gg} = .89$, p_{gg} |
| 6 | < .001), as well as <i>subset</i> ($F(1, 39) = 8.40$, $p = .006$). A single interaction was statistically |
| 7 | significant, <i>fin angle</i> * <i>subset</i> ($F(2, 78) = 4.40$, $\varepsilon_{gg} = .99$, $p_{gg} = .016$), showing that the effect of |
| 8 | the illusion configuration differed by subset, very much in line with what we expected to find and |
| 9 | replicating findings by Whitwell et al. (2016) in the Ponzo illusion. All other interactions were |
| 10 | non-significant (all $p > .4$). Overall, the results from our ANOVA show a clear influence of the |
| 11 | Müller-Lyer illusion on the MGA, with a possibility of a decrease that we explored in further |
| 12 | analyses. |

13 *3.1 The illusion effect over time*

To quantify the Müller-Lyer-illusion effect on the MGA, we first calculated an overall illusion effect as the mean difference between grasps toward targets with an incremental illusory context and size-matched targets with a decremental illusory context (see section 2.3). We then applied a correction for the responsiveness of participants' MGA (mean slope: 0.69, with an S.E.M. of 0.13) and calculated the effect in % of object size (see equations 1 and 2, as well as Bruno & Franz, 2009; Franz et al., 2009, 2005). This gave us an overall illusion effect of 13.3 per cent larger MGAs for incremental vs. decremental illusion trials, 95% CI [6.0, 20.6], which was statistically significant (t(39) = 3.68, p < .001) and falls well within the range of the effects found in Bruno and Franz's (2009) review.

3 Next, we calculated the illusion effect separately for each block, and for each bin within each block. This was done to enable us to look at the magnitude of the illusion effect over time and 4 test for a possible decrease, as well as potential differences between blocks with regards to the 5 6 dynamics of the illusion. We chose to collapse trials over bins instead of on a trial-by-trial basis 7 to ensure that (a) data from all participants were included for each data point, allowing us to 8 compute within-participant effects, and (b) object sizes were always balanced. The results are 9 plotted in Figure 2 (mean MGAs by trial can be seen in Figure 3). In statistical terms, we did see a decrease in the illusion effect: Over all data, linear regression² showed that the illusion effect 10 11 decreased by an average of 0.33 percentage points per bin, which was a statistically significant 12 decrease (t(39) = -3.042, p = .004). Splitting up the data by blocks revealed a negative slope in 13 two of three blocks, that is, single-illusion, single size (mean slope: -0.45 percentage points/bin; 14 paired t-test for difference from 0: t(39) = -2.587, p = .013), single-illusion, dual-size (-0.36) 15 %/bin; t(39) = -2.162, p = .037), but not dual-illusion (-0.13 %/bin; t(39) = -1.455, p = .154). 16 However, these slopes were not significantly different from one another (all p > .12). The illusion

² Note that we would expect a nonlinear decrease of the illusion effect, which is also predicted by the error-correction model. Linear regression slopes are included to give the reader a sense of the magnitude of adaptation on an easily interpretable scale, and to allow some rough inference statistics along with the more detailed modelling.

1 effects by subset can also be seen in Figure 2 (right), illustrating the interaction found in our

2 ANOVA.



3

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Figure 2: Measured and simulated illusion effects over time. Left: Mean illusion effect (black) for each bin, in mm, by block type. Dashed line indicates overall mean illusion effect. Dotted line indicates illusion effect computed from simulated MGAs as described in section 2.4. Bin count starts at 4 due to the baseline phase. Error bars indicate within-subject S.E.M. for the pooled differences between bins (Franz & Loftus, 2012; Loftus & Masson, 1994), allowing inferences about the dynamics of the illusion effect. Bars on the dashed line indicate S.E.M. of the illusion effect. Right: Bars show observed mean corrected illusion effect in per cent ± within-subject S.E.M. (dark grey: incremental, hatched: decremental), for first and second half of each block, and overall. Grey dotted horizontal lines indicate simulated corrected illusion effects, split up in the same way.

- 10 3.2 The dynamic illusion effect as error correction
- 11 We have shown evidence that the illusion effect decreases over trials. To strengthen this
- 12 assertion and offer an explanation why this may be the case, we fit a model of error correction to
- 13 the data (see section 2.4, specifically equations 3 and 4). In essence, this model fit a number of
- 14 parameters (four, if there was only one illusory context: An initial state, a retention parameter, an
- 15 error correction parameter, and the perturbation; six if there were two illusory contexts, with an
- 16 additional error generalization parameter and a second perturbation), bounded to reasonable
- 17 limits, on a per-subject and per-block basis. The model minimized the root mean squared error
- 18 (RMSE) of the model-simulated MGAs vs. observed MGAs. The mean MGAs predicted by the
- 19 model, as well as the mean observed MGAs, are plotted in Figure 3.

| block | а | bC | bG | ω^{I} | ω^{D} | <i>x</i> ₀ | RMSE |
|-------------------------|-------------------------|-------------------------|-------------------------|-----------------------------|-------------------------------|------------------------------|---------|
| Dual- illusion | 0.91 [0.89, 0.92] | 0.14 [0.03, 0.27] | 0.12 [0.03, 0.25] | 1.14 mm [-0.44, 2.75] | -1.46 mm [-3.10, 0.24] | 47.88mm [37.52, 58.47] | 6.06 mm |
| Single, increment | 0.88 [0.75, 0.90] | 0.19 [0.09, 0.31] | NA | 2.31 mm [0.86, 3.42] | NA | 49.08mm [38.88, 60.27] | 5.75 mm |
| Single, decrement | 0.84 [0.75, 0.90] | 0.29 [0.17, 0.43] | NA | NA | -1.34 mm [-2.63, -0.23] | 45.09mm [35.18, 55.24] | 5.44 mm |
| Dual-size, increment | 0.89 [0.81, 0.93] | 0.17 [0.07, 0.31] | NA | 2.41 mm [1.15, 3.57] | NA | 50.49mm [39.99, 61.91] | 6.13 mm |
| Dual-size, decrement | 0.92 [0.84, 0.95] | 0.19 [0.01, 0.32] | NA | NA | -0.98 mm [-1.97, 0.04] | 45.19mm [35.73, 54.98] | 6.63 mm |

| 1 Table 1 : Mean parameter v | alues and goodness of fit. |
|-------------------------------------|----------------------------|
|-------------------------------------|----------------------------|

Note: Parameter values given with 95% confidence intervals obtained via BCa bootstrap. Parameters indicate retention (*a*), error correction (*bC*), error generalization, or interference (*bG*), the visual perturbations corresponding to the illusion (ω^{D} and ω^{I}), and the initial state (x_{0}). RMSE indicates root mean-squared error of simulated vs. actual MGA.

6 Mean parameter values and RMSEs for each of the five blocks can be seen in Table 1, along with confidence intervals obtained by estimating S.E.M.s via BCa-bootstrap (Efron & Tibshirani, 7 8 1993). In contrast to the linear regression reported above (section 3.1), which gives a sense of the 9 overall reduction in the illusion effect over time, the state-space model quantifies the proportion 10 of experienced error that is corrected following each movement. It can be seen that the retention 11 parameter a was close to 1 in all blocks – indicating that the state did not change much apart 12 from the correction for an error signal. For our question of whether error correction could explain 13 dynamic illusion effects in grasping, the error correction parameter bC was central, which 14 indicated the degree to which participants responded to being 'off the mark' and corrected their 15 error. This parameter was significantly different from 0 in all blocks, indicating that under the

1 model, error correction may explain the decreasing effect. As expected, ω^D parameters were 2 in all cases smaller than 0 in the expected direction, while ω^I parameters were larger than 0. 3 These parameters rather closely matched the measured uncorrected illusion effects of -1.6 mm 4 and 2.33 mm. In fact, as the illusion effect estimated by the model fit was 4.2 mm, compared to 5 the observed overall raw illusion effect of 3.93 mm.

6 As it has sometimes been reported that the illusion effect (Kopiske et al., 2016) or the decrease 7 in the illusion effect (Whitwell et al., 2016) can be asymmetric, we compared the two parts of the 8 illusion effect (that is, the mean MGA difference between each illusion configuration and a 9 neutral stimulus) with a paired t-test, finding no significant difference (t(39) = 0.908, p = .370). 10 The error correction parameters were also very similar (0.18 and 0.24 respectively), although 11 visual inspection reveals a possibly slightly larger effect in the incremental illusion (Figure 3). 12 However, the only conclusion our data allow with regards to such an asymmetry effect is that it 13 cannot be very large, if it exists.

14 For our hypothesis that the number of illusion configurations would impact the degree of adaptation, and the notion that potentially the number of objects per block would also matter for 15 16 this, two differences were critical. The former factor was easily tested by looking at the error 17 generalization parameter (which indicated interference between opposing illusion 18 configurations): Parameter bG was significantly higher than 0, indicating that error generalization 19 took place. In other words, learning of the decremental effect of inward-pointing fins interfered 20 with learning of the incremental effect of outward-pointing fins. For the latter factor (number of 21 objects), we had no modelling parameter, so we looked at the difference in error-correction bC

parameters between blocks using one object size and blocks using two objects sizes. No such
 differences were statistically significant, and indeed no such trend was visible, either, so there
 was no support for this hypothesis.



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Figure 3: MGA by trial. Mean MGA in mm, plotted over trials, split up by block type. Dots indicate actual mean MGA (grey for incremental, black for decremental illusion trials or blocks) ± S.E.M. indicated by grey area. Hatched area indicates illusion phase. Lines indicate predictions of the model with bestfitting parameters, see Table 1. Dashed lines indicate mean responses in baseline and washout phases. Responses to different sizes are collapsed in all graphs. The anti-phases in the dual-illusion blocks are a direct consequence of the cycle-constrained pseudo-randomisation.

7 Beyond the model, we also tested for other characteristics of sensorimotor adaptation and error 8 correction. A key prediction of modelling grasping illusion as error correction would be that 9 following a trial where the target appeared larger than it was, the MGA should be noticeably 10 smaller to correct for the error presumably made in the previous trial. Indeed, this was the case, as the mean MGA³ in trials following incremental illusion trials was on average 0.77 mm smaller 11 12 than following decremental illusion trials, a statistically significant difference (t(39) = 3.372, p =13 .002) – see also Figure 4. The figure also shows that as we expected, the MGA in a given trial 14 was not inversely affected by the object size in the previous trial. In fact, larger objects in a 15 previous trial did tend to produce a larger MGA in the current trial (t(39) = 2.787, p = .008). 16 Central to the idea of adapting is also an aftereffect: That is, immediately after the perturbation 17 is removed we should see an effect opposite to the perturbation. Indeed, we found that comparing 18 MGAs in the first trial of washout phases following single-illusion blocks revealed a 3.39 mm 19 larger MGA in washout trials following the decremental illusion than the incremental illusion 20 (t(39) = 2.306, p = .026). A comparison of the first trials of each washout phase to the baseline

³ We accounted for the fact that incremental illusion trials were disproportionally likely to be followed by decremental illusion trials, and vice-versa, by first computing means for each previous*current illusion type combination, and then averaging these.

- 1 phase, as is commonly done in the adaptation literature (e.g., Fernández-Ruiz & Díaz, 1999),
- 2 could not be performed confound-freely due to the fact that MGA was generally larger in the

3 second half of each block.



5 Figure 4: Effects of previous trials on MGA. MGA by size and illusion context of the previous (black, 6 hatched) and current trial (grey, unfilled). As expected, objects within the incremental illusion were 7 grasped larger than objects within the decremental illusion (left, grey), and larger objects were grasped 8 larger than smaller objects (right, grey). Crucially, as black hatched bars show, trials following an 9 incremental illusion were grasped smaller (left, black hatched), indicating an opposite effect consistent 10 with error correction. This opposite effect was not present for object sizes (right, black hatched). Again, a 11 second layer was included to aggregate data as described in footnote 3. Figure displays data from phase 12 two of two-illusion blocks and two-size blocks only. Error bars indicate the within-subject SEM of the 13 difference between the two conditions (see Franz & Loftus, 2012; Loftus & Masson, 1994).

14 **4. Discussion**

4

15 Our results show two things quite clearly: One, that grasping is susceptible to the Müller-Lyer

16 illusion, consistent with the review by Bruno and Franz (2009). The illusion effect on grasping is

17 strong and robust, and unquestionably present in all blocks of the experiment. Two, the effect

1 decreases with repeated trials, replicating recent findings in the Ponzo illusion (Cesanek et

2 al., 2016; Whitwell et al., 2016), and extending findings that had found adaptation to the Müller-

- 3 Lyer illusion in saccades (Bruno, Knox, & de Grave, 2010; Knox, 2010).
- 4 4.1 Modelling the illusion as error correction

5 Our findings can be explained well in terms of an error-correction model of sensorimotor 6 adaptation with an error signal felt upon touching the object, which may be a step towards 7 understanding how adaptation to visual illusions might work. We also found a decrease for the 8 effect of both the incremental and the decremental illusion display. The results are less clear 9 regarding the question whether the number of different stimulus conditions in a block affects how 10 quickly participants can adapt to the illusion. Our results clearly show interference between 11 multiple illusion configurations, which was one of our main predictions, although inference 12 statistics on linear regression slopes did not find a pronounced difference in the degree of 13 adaptation across single-illusion and dual-illusion blocks, and there was virtually no sign of an 14 effect of presenting multiple sizes in a single block. More general principles of error correction 15 also fit well with our data. Primarily, there is the interdependence between trials: Incremental and 16 decremental illusion trials led to an opposite adjustment of MGA in the trials that followed, and 17 also to aftereffects in the washout phase. Finally, the model also does not assume that the 18 different sizes introduced in some blocks would make any difference at all. We nevertheless 19 tested for some effect on the adaptation rate, as laid out in section 1.2 – but the data were in 20 agreement with the model, as neither comparison of regression slopes, nor of error correction 21 parameters showed any difference between single-size and dual-size blocks.

To fit our model, one difficulty in treating visual illusions as size perturbations is that we 1 2 can only have a vague idea of the degree to which the illusion perturbs participants' perception. 3 Indeed, we know that participants vary in how much they are affected by visual illusions (see, 4 e.g., Coren & Porac, 1987). This makes it necessary to fit the illusion on an individual basis, as 5 we did. In fact, each parameter was fit not only for each participant, but also for each block 6 separately, allowing another plausibility check. Parameters were consistent across blocks in that 7 parameter values for the illusion effects and correction parameters were in the expected direction 8 and of roughly similar size (see Table 1), strengthening our confidence that the model provided a 9 sensible fit. Our model needs few parameters to achieve a reasonably good fit: An initial state, 10 retention parameter and error correction parameter are enough to capture the change in the 11 illusion effect in single-illusion blocks. In dual-illusion blocks, we also fit a generalization 12 parameter, although this was not strictly required; this parameter was our way of testing whether 13 the additional configuration could explain differences in illusion-effect dynamics in previous 14 studies. Indeed, we found a positive generalization parameter, indicating that interference in 15 adaptation was caused by error correction without specificity for illusory context. A comparison by Akaike's information criterion slightly preferred the simpler model (ΔAIC of -2.2 for the 16 17 simpler model over the more complex one that included a *bG* parameter; see Akaike, 1974; 18 Burnham & Anderson, 2004), indicating that the improvement in fit was quite small. Given that 19 the more complex model is the only one that can explain both the adaptation aftereffect in single-20 illusion blocks and the previous-trial effect in dual-illusion blocks, we still prefer it.

As illustrated in Figure 3, the model captured quite nicely the general trend of the nonlinear
 decreasing illusion effect in single-illusion blocks (similar to what has been found in previous

studies Buckingham & Goodale, 2010; Cesanek et al., 2016; Whitwell et al., 2016), as well as
the oscillating and more slowly decreasing illusion effect in the dual-illusion blocks. It is also
important to note that by including a baseline phase before introducing the illusion, as is typically
done in research on visuomotor adaptation, participants got to execute a number of 'real', nonpractice grasps before we started measuring the illusion, thus providing another safeguard to
ensure that they were not still learning to execute the task at this stage.

7 *4.2 Our study and the perception-action debate*

8 Our results speak strongly against a perception-action model in which visual processing for 9 skilled actions is uniformly unaffected by contextual illusions (Aglioti et al., 1995; Milner & 10 Goodale, 1995, 2006). However, Whitwell and colleagues (2016) recently argued that a similar 11 result with the Ponzo illusion does not necessarily conflict with this model. Finding a decrease in 12 the Ponzo illusion effect on grasping, they proposed that this could be due to the grasp control 13 system initially treating the illusion context as an obstacle, but learning that it is not a real 14 obstacle. Our results cast doubt on this interpretation: in the decremental context, avoidance of 15 the inward-pointing fins could not possibly produce a smaller grasp. Whitwell and colleagues 16 (2016) go on to suggest that it might be precisely the fact that actions adapt to illusions that 17 distinguishes vision-for-action from vision-for-perception. They propose that a decreasing 18 illusion effect is the consequence of a sensorimotor system that uses visual and haptic 19 information to "refine the programming of visually guided grasping" (Whitwell et al., 2016, p. 20 1163). Whitwell et al. (2016) leave open some specifics of how this adaptation of vision-for-21 action might operate, but it is clear that it would be a (a) property of dorsal processing that (b)

relies on some dynamic updating. Here, we have shown that if we assume a dynamic
visuomotor mapping (a standard assumption in the visuomotor adaptation literature, see e.g.,
Ghahramani et al., 1996; Shadmehr et al., 2010; Taylor et al., 2011), the additional assumption of
two separate size representations is not needed. Thus, our model should be considered more
parsimonious than a modified perception-action model.

6 Indeed, we show that in our data, grasping a visual illusion behaves just like grasping a visual 7 perturbation induced by a prism or a mirror, where participants absolutely cannot see the true size 8 of an object: Using a common baseline-perturbation-washout design we find the classic pattern of 9 (i) an initially large but decreasing effect of the perturbation (i.e., the illusion), (ii) a washout 10 effect once it is removed (see Figure 3), as well as (iii) a previous-trial effect when opposite 11 illusions are interleaved. For this larger point it is not important whether we measure grip 12 aperture or grip force (Hesse et al., 2016), or whether a model considers object size or contact 13 points (Smeets & Brenner, 1999, 2006): We argue that treating visual illusions like regular visual 14 perturbations is a fruitful and parsimonious approach to understanding their effects on action.

We summarise the main implications of our results and our model for the grasping-illusion debate as follows: Is possible to explain differences in illusion effects between perception and grasping without assuming two different visual representations. In fact, our model explicitly assumes grasping responses to be based on consistent, stable perceptual illusion effects. In abstract terms, this is a specific case of the more general principle that aggregation in repeatedmeasures designs can be problematic if the effect to be measured is not constant over time. We also show that interleaving multiple illusion configurations can hinder, but perhaps not always

fully prevent learning of the illusion, even in a setup where different illusions were not 1 2 spatially separated. On the other hand, using two object sizes rather than only one had no 3 measurable effect on motor learning. Thus, interference in the absence of spatial separation may 4 account for the fact that illusion effect did not decrease in studies like Franz et al. (2001) but did 5 in others (this study; Cesanek et al., 2016; Whitwell et al., 2016), while the different number of 6 objects seems to be irrelevant. Future studies may be useful to verify the importance of spatial separation, as well as investigating differences between the smaller, but still existent decrease 7 8 despite interference in our study and the virtually constant illusion effect in others. In our view, 9 sensorimotor adaptation is a promising framework for such investigations that can, as 10 demonstrated, predict and explain illusion effects in grasping while making plausible and 11 relatively few assumptions.

12 **5. Conclusion**

We show that a standard model of sensorimotor adaptation through error correction qualitatively predicts the dynamics of an illusion effect on grasping by treating the visual size illusion as a simple perturbation of size perception. Such a model could provide a plausible, theory-driven approach for integrating the literature.

17

1 Acknowledgements

- 2 The authors would like to thank Siyu Chen, Bethany Hung, Carly Kaplan, and Aubryn Samaroo
- 3 for their help collecting the data.

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- 28

1 Appendix: Details of the error correction model

The model was implemented using the nloptr package for R (Ypma, 2014), which used the
cobyla algorithm to minimize the RMSE between the actual and simulated MGA. Simulated
MGA was calculated as simMGA = slope * visualSize + x_n, with visualSize = physicalSize + ω.
This was done on a per-block, per-participant basis. The optimization ran until iterations changed
each parameter by less than 0.01%, or after a maximum of 10^16 iterations, using the starting
values and bounds given in Table A.1.

8 **Table A.1**: Parameters used in the model.

| Parameter | Description | Starting value | Bounds |
|-----------------------|------------------------|-----------------------|-----------|
| <i>x</i> ₀ | Initial state | 50 | [0, 120] |
| a | Retention | 0.95 | [0, 1] |
| bC | Error correction | 0 | [-1, 1] |
| bG | Error generalization | 0 | [-1, 1] |
| ω | Perturbation, fins out | Mean raw IE, fins out | [-10, 10] |
| ω^{D} | Perturbation, fins in | Mean raw IE, fins in | [-10, 10] |

9 Note: Initial state

10 x_0 bounded generously between the smallest and the largest intercept observed (rounded to the nearest

11 multiple of 10). *a* bounded between 0 (never departing from previous state) and 1 (instant decay toward

12 x_0). bC and bG between -1 and 1, representing 100% error correction and 100% error carry-over,

13 respectively. ω bounded generously between realistic illusion effect values.

14 So, what do these values mean? Figure A.1 displays some examples of how different parameter

15 values might impact the simulated MGA. Let us also consider the case of a dual-illusion block

16 with a 40-mm object, a mean observed response function of y = 48.78 + 0.69x, and the mean

17 parameter values to see what the model looks like in action. In this case, we might start with a

- 2 simulated MGA of
- 3 $simMGA_1 = 0.66 * 38.54 + 47.88 = 73.32$,

4 which we compare to the predicted MGA for an unperturbed object to get the error signal:

5
$$e_1^D = 71.77 - (0.66 * 40 + 48.78) = -1.86.$$

Note that the error signal is much more strongly negative than the perturbation due to the x_0 parameter. Indeed, it is also possible to get a negative error signal despite a positive perturbation -a fact that may be counterintuitive, but is not problematic or worrying since it is very plausible that participants might be subject to certain biases at the start of the illusion phase, but serves to illustrate that the perturbation and the error signal are distinct. We use this error signal (and ignore e_1^I , as it is 0) to compute the states for the next trial:

12
$$x_1^D = a * (x_0 - x_0) + x_0 - bC * e_1^D = 0.91 * 0 + 47.88 - 0.14 * -1.86 = 48.14$$

13 and

14
$$x_1^I = a * (x_0 - x_0) + x_0 - bG * e_1^D = 0.91 * 0 + 47.88 - 0.12 * -1.86 = 48.10.$$

So, a negative error signal (a too small grasp) is corrected for, leading to a larger state for both configurations – but more strongly so for the decremental one, since bC > bG. We also see in these calculations how the retention parameter *a* is applied not to the state, but the difference between the state and x_0 – this difference is going to be non-zero in the following trials. This ensures that the state decays toward the initial state, not toward 0. Continuing in such a way will

- 1 produce a sequence of states. These along with object sizes and illusion types can be used to
- 2 simulate a sequence of MGAs, which is exactly what our model did.



Fourth row: Varying ω . Parameters are set to 0 (left column), half the mean value obtained in our data

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(second from the left), the actual mean value obtained in our data (second from the right), and twice the obtained mean value or their upper bound (right column). Each data point based on the model applied to 10,000 simulated sequences. The anti-phase is caused by the pseudo-randomization procedure and can

8 also be seen as in figure 3.