# Icy road ahead - rapid adjustments of gaze-gait interactions during perturbed naturalistic walking

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#### Abstract

Most humans can walk effortlessly across uniform terrain even when they do not pay much attention to it. However, most natural terrain is far from uniform, and we need visual information to maintain stable gait. Recent advances in mobile eye-tracking technology have made it possible to study, in natural environments, how terrain affects gaze and thus the sampling of visual information. However, natural environments provide only limited experimental control, and some conditions cannot safely be tested. Typical laboratory setups, in contrast, are far from natural settings for walking. We used a setup consisting of a dual-belt treadmill, 240° projection screen, floor projection, three-dimensional optical motion tracking, and mobile eve tracking to investigate eve, head, and body movements during perturbed and unperturbed walking in a controlled yet naturalistic environment. In two experiments (N=22 each), we simulated terrain difficulty by repeatedly inducing slipping through accelerating either of the two belts rapidly and unpredictably (experiment 1) or sometimes following visual cues (experiment 2). We quantified the distinct roles of eye and head movements for adjusting gaze on different time scales. While motor perturbations mainly influenced head movements, eve movements were primarily affected by the presence of visual cues. This was true both immediately following slips, and - to a lesser extent - over the course of entire 5-minute blocks. We find adapted gaze parameters already after the first perturbation in each block, with little transfer between blocks. In conclusion, gaze-gait interactions in experimentally perturbed yet naturalistic walking are adaptive, flexible, and effector-specific.

Keywords: virtual reality, gait stability, treadmill perturbations, motion tracking, eye movements, walking

Running head: Gaze during perturbed walking

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### <sup>1</sup> Introduction

<sup>2</sup> Walking is a complex action that depends on a myriad of dynamic factors regarding the body in motion as well

- <sup>3</sup> as its surroundings, yet humans typically walk effortlessly and without giving it much thought. Walking has
- <sup>4</sup> also been shown to be robust to a variety of perturbations and missing information, as successful locomotion
- $_{\tt 5}\,$  has been found in conditions that include walking over obstacles (Weerdesteyn, Nienhuis, Hampsink, &
- <sup>6</sup> Duysens, 2004), slipping (Marigold & Patla, 2002), and walking without continuous vision (Laurent &
- $_{7}$  Thomson, 1988). In non-human models, even deafferented cats can be able to walk (Brown, 1911), and indeed
- $_{\circ}$  human locomotion is controlled on a variety of different levels from reflexes (Belanger & Patla, 1987; Capaday
- <sup>9</sup> & Stein, 1986; Moore, Hirasaki, Raphan, & Cohen, 2001) to cognitive control (Hausdorff, Yogev, Springer,
- Simon, & Giladi, 2005) and uses many different sensory inputs and dynamics (Gibson, 1958), including but
   not restricted to vestibular (Jahn, Strupp, Schneider, Dieterich, & Brandt, 2000), haptic (Ferris, Louie, &
- <sup>11</sup> not restricted to vestibular (Jahn, Strupp, Schneider, Dieterich, & Brandt, 2000), haptic (Ferris, Louie, & <sup>12</sup> Farley, 1998), and many different visual cues (Laurent & Thomson, 1988; Patla, 1997). Thus, on the one
- Farley, 1998), and many different visual cues (Laurent & Thomson, 1988; Patia, 1997). Thus, on the one
   hand, humans use a huge variety of sensory information and control mechanisms for walking, on the other
- hand most of the time they apparently do not depend on this information. This raises the question: How
- do we sample the visual information around us to facilitate walking, and how does this change under more difficult conditions?
- The most common model of walking mechanics is that of a double inverted pendulum (Mochon & 17 McMahon, 1980) in which each foot is a pivot and the pelvis is the bob, which also coincides with the 18 walker's centre of mass (Whittle, 1997). This model has been very successful in explaining walking under a 19 variety of conditions. These include unperturbed walking over flat, uniform surfaces, but typical responses to 20 perturbations can also be quantified within this model. For example, adjusting the centre of mass is a typical 21 response to different kinds of perturbations to walking (Barton, Matthis, & Fajen, 2019; Marigold & Patla. 22 2002) as well as terrain difficulty (Kent, Sommerfeld, & Stergiou, 2019) and explains much of the variance in 23 gait patterns (Wang & Srinivasan, 2014). Step length, on the other hand, is also sensitive to perturbations 24 (Rand, Wunderlich, Martin, Stelmach, & Bloedel, 1998; Weerdesteyn, Nienhuis, Hampsink, & Duysens, 2004) 25

<sup>26</sup> and changes with irregular terrain (Warren, Young, & Lee, 1986).

- Adjustments to locomotion parameters need to be based on sensory information that walkers have available. 27 Among this information, vision plays a special role (Patla, 1997), being the only sensory information that is 28 available at a distance and critical for online control of walking (Fajen & Warren, 2003; Gibson, 1958). Vision 29 is perhaps especially important in perturbed walking since, as Warren and colleagues put it, in the context 30 of slipping and stumbling "prevention is better than cure" (Warren, Young, & Lee, 1986) - in other words, 31 knowing of potential obstacles in advance (and adjusting gait accordingly) is preferable to simply reacting. 32 Correspondingly, seminal work has shown a central role of vision when steps need to be adjusted towards 33 a target (Laurent & Thomson, 1988; Lee, Lishman, & Thomson, 1982; Warren, Young, & Lee, 1986). On 34 difficult terrain, humans tend to fixate where the most information regarding potential sources of instability 35 is found (Marigold & Patla, 2007): Close to where they step (Hollands, Marple-Horvat, Henkes, & Rowan, 36 1995), as well as towards obstacles (Rothkopf, Ballard, & Hayhoe, 2007; Tong, Zohar, & Hayhoe, 2017) and 37 transition regions between surfaces. Indeed, even unperturbed steps are less precise when visual information 38 is lacking completely (Reynolds & Day, 2005b), with the importance of vision differing by step phase (Matthis, 39 Barton, & Fajen, 2017). Conversely, fixating relevant objects directly leads to improved performance in both 40 reaching and avoiding locations on the walking surface (Tong, Zohar, & Hayhoe, 2017). 41
- It comes as no surprise, then, that eye and body movements tend to be coupled: Not only do the eyes interact with how the body and the head move (Guitton, 1992; Hamill, Lim, & Emmerik, 2020; Imai, Moore,

Raphan, & Cohen, 2001; Moore, Hirasaki, Raphan, & Cohen, 2001; Solman, Foulsham, & Kingstone, 2017). 44 they have also been shown to move in coordinated fashion with the feet in a stepping task (Hollands & 45 Marple-Horvat, 2001). In walking more generally, higher terrain difficulty correlates with a lowered gaze 46 ('t Hart & Einhäuser, 2012), a relationship that holds not just with respect to terrain difficulty, but also 47 to the walker's assessment of the terrain (Thomas, Gardiner, Crompton, & Lawson, 2020). Recent work 48 has suggested that such effects may reflect walkers' strategy of fixating position ahead of themselves by 49 roughly a constant offset when navigating terrains of varying difficulty, not just in terms of the number of 50 steps (Hollands, Marple-Horvat, Henkes, & Rowan, 1995) but also time (Matthis, Yates, & Hayhoe, 2018). 51 Questions remain, however, for example about how and if participants learn to direct their gaze like they do 52 in other tasks (Dorr, Martinetz, Gegenfurtner, & Barth, 2010; Hayhoe & Rothkopf, 2010) and like they learn 53 to adjust their gait (Kent, Sommerfeld, & Stergiou, 2019; Malone & Bastian, 2010; Nashner, 1976; Rand, 54 Wunderlich, Martin, Stelmach, & Bloedel, 1998). 55 Another key issue is methodological. So far we have touched only briefly on the fact that the aforementioned 56

studies used distinct settings - the laboratory (Barton, Matthis, & Fajen, 2019; Fajen & Warren, 2003; Jahn, 57 Strupp, Schneider, Dieterich, & Brandt, 2000; Marigold & Patla, 2007; Matthis, Barton, & Fajen, 2017; 58 Rothkopf, Ballard, & Hayhoe, 2007; Weerdesteyn, Nienhuis, Hampsink, & Duysens, 2004), or the real world 59 (Matthis, Yates, & Hayhoe, 2018; 't Hart & Einhäuser, 2012), with some also using fully or partially virtual 60 environments (Barton, Matthis, & Fajen, 2019; Fajen & Warren, 2003; Matthis, Barton, & Fajen, 2017; 61 Rothkopf, Ballard, & Hayhoe, 2007). These studies also investigated different classes of locomotion: Walking 62 (Fajen & Warren, 2003; Jahn, Strupp, Schneider, Dieterich, & Brandt, 2000; Marigold & Patla, 2002, 2007; 63 Matthis, Yates, & Hayhoe, 2018; Rothkopf, Ballard, & Hayhoe, 2007; 't Hart & Einhäuser, 2012; Thomas, 64 Gardiner, Crompton, & Lawson, 2020; Weerdesteyn, Nienhuis, Hampsink, & Duysens, 2004), running (Ferris, 65 Louie, & Farley, 1998; Jahn, Strupp, Schneider, Dieterich, & Brandt, 2000; Lee, Lishman, & Thomson, 1982; 66 Warren, Young, & Lee, 1986), or stepping (Barton, Matthis, & Fajen, 2019; Hollands & Marple-Horvat, 2001; 67 Hollands, Marple-Horvat, Henkes, & Rowan, 1995; Matthis, Barton, & Fajen, 2017; Reynolds & Day, 2005b). 68 These distinctions regarding settings are, however, critical. There is some trade-off between the experimental 69 control afforded by a laboratory and the ecological validity of more real-world like settings. This trade-off 70 applies to behavioural studies in general, but has also been debated specifically for studies on locomotion 71 (Multon & Olivier, 2013) and on eve movements (Hayhoe & Rothkopf, 2010; 't Hart et al., 2009). 72

In the present study, we combined a high performance dual-belt treadmill, a 240° virtual reality projection, 73 high-precision real-time motion capture and mobile eye tracking to achieve a much more naturalistic setting 74 for walking than most previous lab-based studies, while maintaining full experimental control over visual 75 stimulation and terrain difficulty (figure 1, movies 1 and 2). We applied slip-like perturbations to walking 76 in unimpaired participants and measured how such perturbations affected body and eye movements. The 77 analysis considered two different time scales: 8-s time windows around each perturbation as well as whole 78 five-minute blocks of the same conditions. In two experiments, we manipulated the frequency and intensity 79 (experiment 1) as well as, through visual cues (transparent blue-ish rectangles on the virtual road), the 80 predictability of perturbations (experiment 2). This allowed us to tell apart the effects of walking under 81 difficult conditions on different parameters and on multiple time scales. Based on previous real-world work, 82 we expected differences between conditions in the cumulative eve movement data, in particular lowered gaze 83 when gait is perturbed ('t Hart & Einhäuser, 2012), especially for perturbations visible ahead of time (Matthis, 84 Yates, & Hayhoe, 2018). With respect to rapid adjustments, i.e., differences between successive slips in the 85 same condition and carry-over across blocks, predictions were less clear. While gait-stability investigations 86

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have shown a lot of learning on the first perturbation (Marigold & Patla, 2002) and individual differences in how strongly and quickly gait is adjusted (Potocanac & Duysens, 2017), such data are lacking when it comes to eye movements. To address these questions, we assessed (i) immediate effects in a three-second time

<sup>90</sup> window after each perturbation, (ii) adaptive changes to the perturbation condition in each 5-minute block.

<sup>91</sup> and (iii) persistent changes between blocks, each with respect to eye, head and body movements.

# 92 Methods

### 93 Participants

For experiment 1, we invited a total of 26 participants into the lab for testing. Two of these were tested as a replacement for the first two participants, where we had noticed issues with stimulus display; for two further participants, we later discovered that recordings were incomplete (data from eye tracking, in one case, and motion tracking in the other case), leaving us with complete data sets from N=22 participants that were included in the analyses. These included 16 women and 6 men with average age 22.5 years (between 18 and 37), average height 169 cm  $\pm$  9 cm, average body mass 63 kg  $\pm$  10 kg, average leg length 91 cm  $\pm$  6 cm.

Participants received either course credit or a monetary reimbursement of  $6 \notin /h$ .

For experiment 2, we again invited 26 participants into the lab. Two were replacements for participants whose data were incomplete (in one case due to a computer crash, another whose uncorrected visual acuity was insufficient). Again, one data set turned out to be incomplete, and one participant's data was excluded due to a too high proportion of missing data, over 25%, leaving us with a set of N=22 participants included in analysis (13 women, 9 men; average age 25.6 years, between 19 and 38; average height 170 cm  $\pm$  12 cm, average body mass 64 kg  $\pm$  11 kg, average leg length 84 cm  $\pm$  6 cm). Participants were reimbursed with course credit, or 8€/h.

For each experiment, our desired sample size was N=24, a sample that at  $\alpha = .05$  and Cohen's f =108 0.25 (roughly the effect size we expected for changes in gaze allocation based on previous results such as 't 109 Hart & Einhäuser (2012)) would give us 80 % power (Cohen, 1988). Participants for both experiments were 110 recruited via an online mailing list and invited to the lab if they self-reported normal or corrected-to-normal 111 vision without needing glasses (contact lenses were permitted), no neurological or walking impairments, and 112 to weigh 130 kg or less. Prior to the experiment, all participants gave written, informed consent but were 113 naive to the hypotheses. They also filled in a questionnaire asking biographical details, handedness, visual 114 and auditory impairments, current state of being awake and whether they felt in good health. Biometric 115 measurements were taken that were required for the motion-tracking model. Participant data were protected 116 following the guidelines of the 2013 Declaration of Helsinki. Participants were debriefed after the experiment. 117 All procedures were approved by the Chemnitz University of Technology, Faculty of Behavioural and Social 118 Sciences ethics committee (V-314-PHKP-WET-GRAIL01-17012019). 119

### <sup>120</sup> Perturbations and the virtual environment

<sup>121</sup> We used a dual-belt treadmill (GRAIL; Motek Medical, Amsterdam, NL) capable of accelerating each belt <sup>122</sup> independently at up to 15 m/s<sup>2</sup> (Sessoms et al., 2014) to induce perturbations. These started when the <sup>123</sup> participants put their foot down on the to-be-perturbed belt (force > 100 N) and ended when the same foot <sup>124</sup> was lifted off the belt (force < 50 N). On average, perturbations lasted 643 ms  $\pm$  318 ms when the belt was

accelerated to 2 m/s, and 695 ms  $\pm$  312 ms when it was accelerated to 1.5 m/s. The visual environment

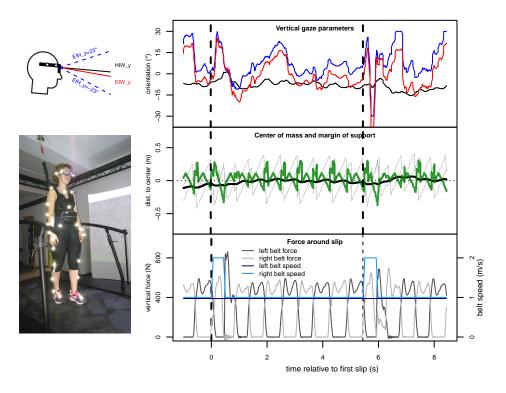


Figure 1: Our setup and the main variables recorded. Top left: Schematic side view of a head wearing SMI glasses to illustrate gaze parameters. The four markers on the glasses were used to calculate head orientation (the vertical component of which is plotted here in degrees as "head-in-world", or HiW y) and the position of the cyclopean eye. Knowing the field of view of the SMI glasses ( $46^{\circ}$  vertically and  $60^{\circ}$  horizontally for the head camera, as seen in the videos, and  $60^{\circ}$  /  $80^{\circ}$  for gaze tracking) allowed us to add the "eye-in-head" or EiH\_y gaze vector (also in degrees) to this vector and gave us "eye-in-world", EiW\_y, when adding up the two parameters. Bottom left: Setup for our experiment. Participant wearing 39 retro-reflective markers and SMI glasses on a dual-belt treadmill, looking at a virtual road presented on a 240° screen. Right: Gaze and gait parameters over two slip events from experiment 1 as an example of the measured data. Top panel: Gaze-related parameters, including vertical coordinates of the head's pointing direction position of head-in-world (black), eye-in-world (red), and eye-in-head (blue). Time axis is relative to the initiation of one slip (i.e., a perturbation event), y-axis shows y-component of each parameter in degrees. Dashed vertical lines indicate time of perturbation. Middle: Movement-adjusted centre-of-mass (black) compared to anterior and posterior base of support (grey), giving us the anterior-posterior margin of support ( $MOS_{ap}$ , green, in m; higher values indicate higher gait stability). Bottom: Vertical force in N on the left and right belt, respectively, which was used to detect steps online. Light blue and dark blue lines show the respective nominal belt speeds.

was a simple endless road (see movie 1), displayed at 60 Hz on a 240° screen 2.5 m in front of the centre

 $_{127}$   $\,$  of the treadmill with a virtual horizon at 1.25 m height, rendered from the perspective of a virtual camera

positioned at 1.6 m height at the x-y-origin. Thirty-nine retro-reflective markers were placed on the subjects'

body segments (see figure 1) to facilitate motion capture of the subjects gait using a Vicon Plug-In Gait full

<sup>130</sup> body model (Vicon Motion Systems, Yarnton, UK). Markers were either placed directly on subjects' skin or

<sup>131</sup> on tight fitting athletic apparel and always applied by the same experimenters within each experiment to

<sup>132</sup> increase reliability (McGinley, Baker, Wolfe, & Morris, 2009). Head orientation was captured using four head

<sup>133</sup> markers. Marker positions were recorded at 250 Hz by ten infrared cameras positioned at different angles and

heights around the treadmill. Force plates below the belts recorded ground-reaction-force time series at 1000

 $_{135}$  Hz, used to compute stride data, with 50 N vertical force as a threshold for ground contact. Eye positions

were recorded at 60 Hz using SMI glasses (SensoMotoric Instruments, Teltow, Germany) with a gaze-position
 accuracy of 0.5° according to the manufacturer.



Figure 2: Movie 1 (slip1.mp4), a participant walking and slipping from three angles (from behind and side views), as well as the participants' head-cam view. Footage from one of the first slips of this participant, in experiment 1 (i.e., without visual cues).



**Figure 3:** Movie 2 (slipWcue.mp4), head-cam view of a participant in experiment 2 walking with perturbations and visual cues (\*v1m1\* condition). As the participant traverses each of the two blue-ish rectangles, one belt of the treadmill accelerates to induce a motor perturbation.

### 138 Procedure

First, motion-tracking cameras were calibrated, anthropometric measurements including height and leg length were taken, and markers were applied. Participants who reported being unfamiliar with walking on treadmills were given up to 1-minute practice that consisted of unperturbed walking at 1 m/s. Following this, experimenters calibrated the motion-capture model using a standard set of movements (T-pose and ca. 10 s of walking). SMI glasses were then calibrated using a three-point calibration; this eye-tracking calibration was repeated each time the participant took a break.

Prior to each block, participants were instructed whether they were in a baseline- or perturbation-block and were asked to walk normally at the speed imposed by the treadmill for ca. 5 minutes, until it came to a stop. No further information about the experimental condition were given. Each block was preceded by a 20-point validation of the eye tracker (movie 3). This would have enabled us to retroactively exclude participants with unusable data (none were identified). Moreover, we could check the precision, accuracy and stability of calibration independent of the device. We found a comparably large (median 5.5°) error, which,

						Proportion missing data			
Exp	Condition	Velocity	Probability	Vis. cues	Slips	Eye	Eye, slips	Mocap	Mocap, slips
1	1.5 m/s * 0.05	$1.5 \mathrm{m/s}$	.05	no	20.5	1.1%	1.1%	0.3%	0.3%
	$2.0 {\rm m/s} * 0.05$	$2.0 \mathrm{m/s}$	.05	no	23.0	1.2%	1.5%	0.3%	0.4%
	1.5 m/s * 0.1	$1.5 \mathrm{m/s}$	.1	no	37.5	1.4%	1.4%	0.3%	0.3%
	$2.0 {\rm m/s} * 0.1$	$2.0 \mathrm{m/s}$	.1	no	40.5	1.6%	1.8%	0.3%	0.3%
2	v0m0	$2.0 \mathrm{m/s}$	_	no	NA	1.4%	0%	0.1%	0%
	v0m1	$2.0 \mathrm{m/s}$	ca05	no	19.0	1.5%	1.4%	0.1%	0.1%
	v1m0	$2.0 \mathrm{m/s}$	-	yes	19.0	1.4%	1.4%	0%	0%
	v1m1	$2.0 \mathrm{m/s}$	with cue: 1	yes	20.0	1.5%	1.5%	0.2%	0.2%

**Table 1:** Conditions in our experiments, their basic characteristics with respect to slips, and proportion of missing eye-tracking data.

however, was consistent across the visual field within each participant. This allowed us to apply a block-wise correction procedure, reducing the error to 2.2° for the region in which over 90% of gaze was directed (see Appendix for details and definition of these measures). Importantly, this corrected calibration was stable across a block (0.3° degrees shift between blocks). Note that most of our measures consider eye-position changes over a short interval and are therefore unaffected by gradual drift. After a countdown of 5 s (movie 4), treadmill speed was increased to the baseline speed of 1 m/s over 5 s in steps of 0.2 m/s. Deceleration at the end of blocks followed the same stepwise pattern.

The main experiment started with a baseline block of another 5 minutes (experiment 1) or 2:30 minutes 158 (experiment 2) of unperturbed walking. After this, participants completed perturbation blocks of 5 minutes 159 each, during which one of the belts accelerated (at  $15 \text{ m/s}^2$ ) on certain steps, perturbations that simulated 160 and were subjectively experienced akin to slipping on ice: In experiment 1, these perturbations occurred 161 quasi-randomly with a probability of either .05 or .1 on every step (with a minimum distance of five steps 162 between perturbations) depending on the experimental block (factor *perturbation probability*), see table 1. 163 The perturbation strength (i.e., the target speed of the acceleration) was either 1.5 m/s or 2.0 m/s (factor 164 *perturbation strength*), giving us  $2 \ge 2 = 4$  conditions that were presented to each participant with the order 165 counterbalanced between participants. In experiment 2, we fixed the frequency and speed of perturbations, 166 but also included visual cues: transparent blue 1 m x 1 m squares on the road spaced between 12 m and 167 20 m apart (16 m on average, for a median 19.5 perturbations per block; see movie 2) that were present in 168 half of the blocks (factor visual cue, denoted as "v1" and "v0" for visual cues being present or not present, 169 respectively). Motor perturbations were always accelerations to 2.0 m/s, triggered when participants stepped 170 into one of the 1 m x 1 m squares (visible in the "v1m1" condition and invisible in v0m1) for the leg they 171 first stepped into the square with. They were present also in only half of the blocks (the two factor levels 172 present and not present named "m1" and "m0" following the same logic used for visual cues; a summary 173 of our conditions can be seen in table 1), again giving us a 2 x 2 design. This allowed us to isolate the 174 respective contributions of seeing (and potentially tracking) a visual cue on the one hand and on the other 175 hand experiencing a slip-like motor perturbation. For example, the condition with the motor perturbation 176 coinciding with the visual display of ice on the road that could be seen approaching from the distance (movie 177 2) was referred to as "v1m1" and allowed participants to know in advance not just that perturbations would 178 occur, but also when, since in such blocks visual cues and motor perturbations always occurred together. 179 Each condition was presented twice, with each half of the experiment containing each condition once in 180

- <sup>181</sup> reverse order of each other, counterbalanced between participants. In both experiment 1 and experiment 2,
- <sup>182</sup> this was followed by another block of unperturbed walking that was identical to the first block.



**Figure 4:** Movie 3 (calib.mp4), head-cam view of the eye-tracker validation procedure. As 20 red dots are presented on the screen in a pre-defined order, the participant was asked to always fixate the one that was visible. Head movements were explicitly allowed. These recordings were used to validate that the eye tracker was able to record data of sufficient quality for further analysis.



Figure 5: Movie 4 (countdown.mp4), head-cam view of the countdown to walking and the participant starting to walk. This countdown was always displayed after the validation and always showed the participant number, block number, and how many seconds were left until the treadmill would start. The word "Los" is German for "Go".

### 183 Data processing and variables

Eye-tracking data were exported to text files using BeGaze (SensoMotoric Instruments, Teltow, Germany) 184 and synchronised with motion capture data by using the time stamp of the countdown preceding each block, 185 which also involved down-sampling motion-capture data to 60 Hz to match eye-tracking data. We then 186 cleaned the data by interpolating missing values with a cubic spline and filtering them with a third-order 187 Savitzky-Golay filter (Savitzky & Golay, 1964) with a window of just under 100 ms. This procedure was 188 applied to both eve-tracking data (block-wise median: 1.4% missing values, ranging from 0.08% to 9.5%; this 189 included blinks as detected by BeGaze) and motion-tracking data (block-wise median: 0.2% missing values 190 for markers included in analyses, ranging from 0 to 18.6%; high values typically indicated an occluded hip 191 marker or, in rare cases, a foot marker falling off). We found very similar proportions of missing values in 192 8-second windows around slips (medians: 0.2% and 1.6% for motion capturing and eye tracking, respectively), 193

<sup>194</sup> indicating that missing values did not cluster around those events, see table 1.

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Our main dependent variables (see figure 1) were (i) the head orientation ("head-in-world"), defined as 195 the mean slope, in degrees, of the two vectors between the back-head markers and the front-head markers, (ii) 196 the point of regard relative to the field of view of the SMI glasses ("eve-in-head"), also in degrees. From these 197 we calculated (iii) the gaze orientation relative to the real-world coordinate system ("eve-in-world"). We 198 restricted quantitative analysis to the vertical dimension, for two reasons: (a) the setting is symmetric relative 199 to the vertical meridian of the display and (b) all relevant information for further step placement, which is 200 where humans tend to look (Hollands, Marple-Horvat, Henkes, & Rowan, 1995; Matthis, Yates, & Hayhoe, 201 2018), arises from the line of progression, which is along the vertical as participants walk straight ahead. For 202 gait stability, we computed (iv) the anterior-posterior margin of support  $(MOS_{ap})$  as the minimum distance 203 between bases of support (most anterior and most posterior foot marker touching the ground) and the centre 204 of mass (CoM, estimated as the mean position of the hip markers, see Whittle (1997)). The CoM was then 205 adjusted for its movement (its temporal derivative CoM estimated through the same Savitzky-Golay filter 206 used for smoothing) and the angular frequency of the pendulum (Hof, Gazendam, & Sinke, 2005; McAndrew 207 Young, Wilken, & Dingwell, 2012) derived from heel-pelvis distance l and gravity q to give us the adjusted 208 centre of mass XCoM, calculated as 209

$$XCoM = CoM + \frac{CoM}{\sqrt{\frac{g}{l}}} \tag{1}$$

Eye-tracking and motion capture data, as well as analysis scripts are available via the Open Science Framework: https://osf.io/umw5r/?view\_only=01331c3c857548ee9ccb6edc6fd226c6

### 212 **Results**

In each of two experiments, we asked participants to walk on the treadmill at a moderate speed while 213 viewing a virtual world whose motion was synchronised to treadmill motion (figure 1, movies 1 and 2). 214 Quasi-randomly, the belt below one foot would accelerate rapidly at the time of foot placement on some 215 steps; speed returned to standard for the next step. In experiment 1, we manipulated the rate at which these 216 perturbations occurred and the strength of the perturbation. In experiment 2, we fixed these parameters. 217 Instead, we independently manipulated on a block-wise basis whether perturbations were present or not, and 218 whether there were visual cues indicating a possible perturbation. Reflecting this, our primary analyses were 219 2 x 2 repeated-measures ANOVAs to evaluate each parameter in each experiment, with factors *perturbation* 220 strength and perturbation probability in experiment 1, and visual cue and motor perturbation in experiment 2. 221 Note that the presentation of our results is ordered by variables first, rather than by experiments. 222

### <sup>223</sup> Event-related gaze patterns around slips

First, we verified that our perturbations induced slipping as intended by calculating  $MOS_{ap}$  and determining 224 the difference between its maximum and minimum in an 8 s time-window around each perturbation event 225 (from 5 s prior to 3 s after, chosen generously to not miss effects of approaching visual cues and not overlap 226 with a following slip). This peak-trough difference of values in a given time window provided a measure of 227 how strongly a parameter varied during that time, a marker of that parameter responding to the perturbation. 228 We found that, as expected (Bogaart, Bruijn, Dieën, & Meyns, 2020; Madehkhaksar et al., 2018), MOS<sub>ap</sub> 229 was sensitive to our perturbation as there was significantly more variability around slips, with *perturbation* 230 strength in experiment 1 (F[1, 21] = 102.51, p < .001, repeated-measures ANOVA) and motor perturbation in 231 experiment 2 (F[1, 21] = 331.88, p < .001) being the deciding factors (other main effects and interactions p > 0232

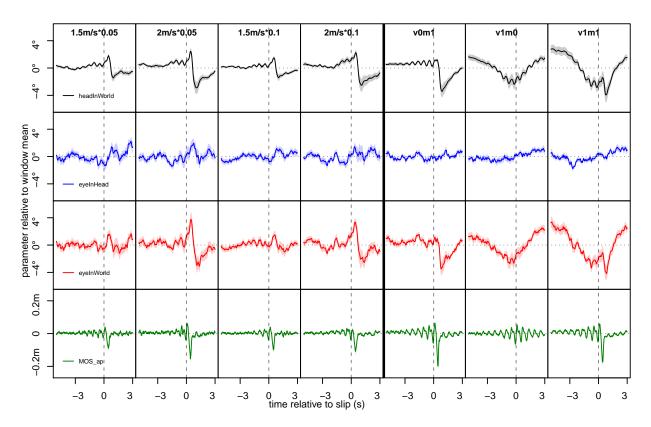


Figure 6: Average gaze and gait parameters relative to slips. Average trajectories across slips and participants shown for vertical head-in-world (top row), eye-in-head (second row), and eye-in-world (third row), as well as anterior-posterior margin-of-support (bottom row). Shaded areas indicate between-subject standard-error of the mean (SEM). Noticeable patterns include slip-related peak-dip-recovery profiles in head-in-world and eye-in-world, as well as continuously lowered gaze when visual cues were present.  $MOS_{ap}$  shows a sharp decrease following the slip indicating the loss of stability, as well as oscillatory patterns close to the slip likely caused by the fact that, as the slip was always locked to a step, steps were more in sync closer to slip events. A similar (albeit much weaker) pattern of oscillations can be seen in head-in-world. Panels ordered column-wise by perturbation strength and probability for experiment 1 (1.5 m/s or 2.0 m/s and .05 or .1 on each step, respectively), and by whether visual cues and motor perturbations were present for experiment 2 (visual cue absent/present: v0/v1; perturbation present/absent m0/m1; note that v0m0 is not shown as no events could be defined).

<sup>233</sup> .15). This, along with inspection of figure 6, verified that our experimental manipulation worked as intended. <sup>234</sup> We analysed *gaze* behaviour by looking at head-in-world, eye-in-head, and eye-in-world (see figure 1, top <sup>235</sup> row). For each parameter, we computed peak-trough differences per perturbation event in the same way as <sup>236</sup> for  $MOS_{ap}$  and averaged them to give us mean values per participant and condition (see table 2 and figure 6, <sup>237</sup> right).

### 238 Head-in-world

Our first main analysis concerned if and how perturbations affected head movements. We quantified this by measuring peak-trough differences for the head-in-world orientation around perturbations. For experiment 1, we found that head-in-world parameters responded strongly to *perturbation strength* (F[1, 21] = 23.19, p < .001) but not to *perturbation probability* (F[1, 21] = 1.01, p = .326). This means that stronger perturbations lead to stronger head responses, but more frequent perturbations did not. In experiment 2, where we introduced visual cues and made motor perturbations binarily either present or not, we found main effects of *motor perturbation* (F[1, 21] = 29.17, p < .001) and *visual cue* (F[1, 21] = 4.37, p = .049). This confirms that head orientation responds to perturbations and is to some extent influenced by the presence of a visual cue. In both experiments, there were no interactions between factors (all p > .07).

#### 248 Eye-in-head

Next, we considered vertical eve movements relative to the head, that is, the signal measured by the eve-249 tracking device. Unlike head-in-world orientation, eye-in-head neither depended clearly on perturbation 250 strength (F[1, 21] = 0.95, p = .342) nor on perturbation probability (F[1, 21] = 0.01, p = .947) in experiment 251 1 (with an interaction: F[1, 21] = 7.42, p = .013, showing that there was a notable difference between 252 perturbation strengths mainly when perturbations were relatively frequent). In experiment 2, on the other 253 hand, eye-in-head differed not on the presence of a motor perturbation (F[1, 21] = 1.78, p = .196), but on 254 whether there were visual cues (F[1, 21] = 6.41, p = .019), with no significant interaction being present (F[1, 21] = 6.41, p = .019)255 21] = 3.77, p = .066). Together, both experiments show that the presence of visual cues affected vertical eye 256 movements, while motor perturbations had comparably little effect on eye-in-head orientation. 257

#### 258 Eye-in-world

The previous analysis suggests that motor perturbations primarily affect head movements, while visual cues 259 primarily affect eye movements. Gaze ("eye-in-world") is a combination of these variables. Eye-in-world 260 parameters, computed from a combination of the previous variables, were sensitive to *perturbation strength* 261 (F[1, 21] = 11.16, p = .003), with an interaction with perturbation probability (F[1, 21] = 7.38, p = .013) that 262 indicated that this effect of gaze in real-world coordinates varying more around perturbations was clearer in 263 blocks with more frequent perturbations. There was, however, no main effect of perturbation probability, F[1, F]264 21 = 0.25, p = .624 in experiment 1. In experiment 2, eve-in-world differed depending on both visual cue 265 (F[1, 21] = 5.85, p = .025) and motor perturbation (F[1, 21] = 12.45, p = .002), with no interaction (F[1, 21] = 12.45, p = .002)266 = 1.24, p = .279), with each manipulation increasing peak-trough differences when it was present, see table 2. 267 Considering all three head and gaze parameters, we thus see that visual information and motor perturba-268 tions both affected gaze in the world - but both through different effectors: Visual information affected gaze 269 primarily via eye movements, motor perturbations primarily via affecting head movements. In all conditions 270 with a motor perturbation (i.e., all of both experiments except "v0m0" and "v1m0"), we observed a clear 271 event-based modulation of all gaze measures, with a short slight upward shift of gaze followed by a longer and 272 pronounced downward movement that scales with the perturbation speed. Slips with a visual cue showed a 273 steady lowering of gaze (mostly through head movements) prior to the slip, indicative of participants tracking 274 the cue as it approached them. 275

### 276 Gaze and gait

Finally, to see whether less stable gait and more variable gaze tended to occur together, that is, whether some perturbations just had overall stronger effects on the participants, we calculated Pearson correlations between peak-trough ranges for gaze and gait parameters. Across all measures, correlations between gaze and gait were on average positive but small and with very wide ranges: Mean within-participant correlations in experiment 1 were  $r_{MOS,HIW} = .21$ , ranging from -.45 to .59, and  $r_{MOS,EIW} = .13$  [-.19; .40]; in experiment

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		Mea	n range per	slip	Mean per block			
Experiment	Condition	HiW slips	EiH slips	EiW slips	HiW block	EiH block	EiW block	
1	1.5 m/s * 0.05	13.1°	30.7°	34.9°	-5.0°	2.7°	-2.3°	
	2.0 m/s * 0.05	15.8°	$30.7^{\circ}$	36.8°	-5.5°	2.3°	-3.2°	
	1.5 m/s * 0.1	12.7°	$29.5^{\circ}$	33.8°	-4.9°	2.0°	-2.9°	
	$2.0 {\rm m/s} * 0.1$	$17.7^{\circ}$	32.0°	$39.7^{\circ}$	-6.0°	2.8°	-3.3°	
2	v0m0	11.4°	30.8°	$35.4^{\circ}$	-8.9°	-4.1°	-13.0°	
	v0m1	15.4°	30.8°	38.0°	-10.8°	-3.7°	-14.5°	
	v1m0	13.9°	31.3°	37.7°	-9.3°	-2.8°	-12.1°	
	v1m1	18.0°	33.3°	41.6°	-10.1°	-2.2°	-12.3°	

**Table 2:** Mean peak-trough ranges for slips (left), and block-means with slips excluded (right), for all gaze parameters, along the y-axis.

<sup>282</sup> 2 these were  $r_{MOS,HIW} = .18$  [-.41; .48] and  $r_{MOS,EIW} = .06$  [-.24; .31]. This indicates that perturbations <sup>283</sup> that destabilise gait more effectively do not necessarily exert a stronger effect on gaze parameters than less <sup>284</sup> effective perturbations. This (near) absence of an event-by-event correlation also renders trivial explanations <sup>285</sup> of perturbation effects on gaze, such as a direct coupling of body posture and gaze with the head dip as a

biomechanical consequence of slipping, exceedingly unlikely, as they predict stronger slips to cause larger dips.

### <sup>287</sup> Effects of perturbation per block

Having found clear gaze adjustments around perturbation-induced slips, we investigated whether participants' gaze showed longer-lasting adjustment by averaging parameters over entire blocks, excluding 8-s periods (5 s before and 3 s after) around perturbations (figure 7, table 2) to look at longer-lasting changes independent of immediate effects.

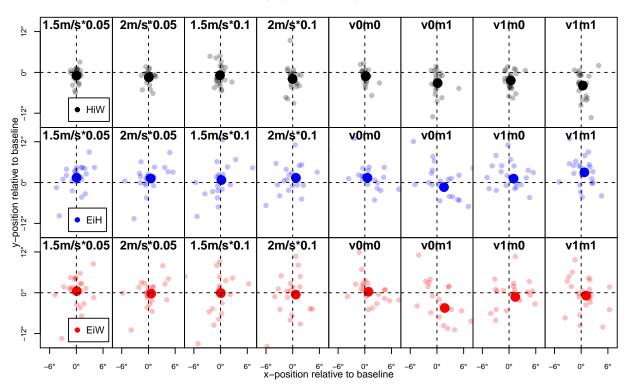
### 292 Head-in-world

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On average throughout a block, vertical head-in-world position was not affected by *perturbation strength* in 293 experiment 1 (F[1, 21] = 3.20, p = .088), nor by perturbation probability (F[1, 21] = 0.16, p = .698), with no 294 interaction (F[1, 21] = 0.52, p = .477). When visual cues as well as blocks without any motor perturbation 295 were introduced (experiment 2), head-in-world differed depending on motor perturbation (F[1, 21] = 12.16, p)296 = .002), but not visual cue (F[1, 21] = 0.05, p = .829), with a statistically significant interaction (F[1, 21] = 297 5.00, p = .036), which indicated that the effects of motor perturbations were somewhat stronger when no 298 visual cues were present. Descriptively, we saw lower gaze for faster perturbations in experiment 1 (mean 299 difference  $-0.9^{\circ}$  and when motor perturbations were present in experiment 2 (-1.3°), indicating that the 300 head was lowered. 301

#### 302 Eye-in-head

Neither perturbation strength (F[1, 21] = 0.16, p = .694) nor perturbation probability (F[1, 21] = 0.19, p = .668) affected vertical eye-in-head position in experiment 1. Correspondingly, the presence or absence of a motor perturbation in experiment 2 did not significantly affect eye-in-head position, either (F[1, 21] = 0.08, p = .783). The presence or absence of visual cues did, on the other hand (F[1, 21] = 11.37, p = .003), with no interaction between visual cues and motor perturbation (F[1, 21] = 3.41, p = .079). Specifically, gaze



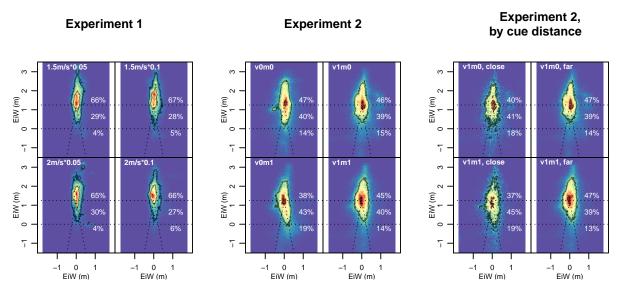
### Mean eye parameters per condition

**Figure 7:** Mean gaze parameters for each type of block, relative to baseline (unperturbed blocks of walking at beginning and end of each experiment). Plotted are baseline-corrected means of head-in-world, eye-in-head, and eye-in-world for the entire duration of each block type, in degrees. Each small dot represents one participant, large dots indicate overall means. As expected, variability was primarily along the vertical axis, where most information was found. Columns arranged in the same as in figure 6; those on the left show blocks from experiment 1, columns on the right show blocks from experiment 2.

was raised (on average by 2.5 ° of visual angle) when visual cues were present. Thus, eye movements were
 impacted by visual cues but not by motor perturbations. This held during slip responses, as well as during
 regular walking between perturbations.

### 311 Eye-in-world

Similar to eye-in-head, vertical eye-in-world did not differ significantly depending on either *perturbation* 312 strength (F[1, 21] = 0.67, p = .423) or perturbation probability (F[1, 21] = 0.36, p = .556) in experiment 1. 313 In experiment 2, we again saw an effect of visual cues (F[1, 21] = 10.65, p = .004), with an effect magnitude 314 of 2.6°) but not of motor perturbations (F[1, 21] = 3.27, p = .085), but an interaction (F[1, 21] = 5.23, p = .085) 315 .033) indicative of a lowered gaze specifically in v0m1 blocks. This pattern in the two gaze variables also 316 likely indicates some form of tracking of visual cues (for an example, see movie 2), which were relatively far 317 away (and thus high on the screen) for the majority of the time. To visualize this, we computed aggregated 318 gaze maps, shown in figure 8. These are based on 2D densities of gaze (eye-in-world) using bivariate normal 319 kernels. For both experiments, data are split up by type of condition (figure 8, left and middle column). The 320 gaze maps underline the finding that gaze was lowered especially for blocks with perturbation but without 321 visual cues (v0m1). For experiment 2, we also split up data from blocks with visual cues by whether the 322



**Figure 8:** Distribution of gaze orientation depending on experimental condition. Eye-in-world is plotted in absolute coordinates (units of meters). Colours show relative density over entire blocks, from blue (lowest) to dark red (highest). Contours delineate areas containing 10% and 90% of data. Dotted lines indicate the outlines of the treadmill belt, bottom of the screen, and virtual horizon. Numbers on the right in white indicate what proportion of the time gaze was directed (i) above the virtual horizon, (ii) on the screen below the virtual horizon, and (iii) on the treadmill belt or its extension in front of the screen. Left: Experiment 1, middle: Experiment 2, right: Blocks of experiment 2 in which visual cues were given, split up by whether this visual cue was on the treadmill belt (left column) or further away, i.e., above the belt (right column). We see the highest density centrally close to the virtual horizon, and most variation along the line of progression. Also visible are small local peaks close to the bottom of the screen, roughly 0.5 m (exp. 1) or 1 m (exp. 2) off centre; here were motion-capture cameras. Crucially, we see that participants directed their gaze towards the treadmill much more when this was where the visual cue was (second-to-right column), compared to both when the cue was further away (rightmost column). We also see that even in conditions where no visual cue was present, participants' gaze patterns in experiment 2 were much more focused around the vanishing point (and consequently lower) than in experiment 1.

most proximal cue was displayed on the treadmill ("close") or further away on the screen ("far"; figure 8,

<sup>324</sup> right column). The maps suggest that in blocks with visual cues, gaze was lowered when the cue was close.

Gaze also became more variable in this case, in particular if the close cue signalled that a perturbation was  $\frac{1}{1}$ 

 $_{326}$  imminent (v1m1).

In sum, our results show block-wise changes of eye and head movements that were neither clearly complementary nor compensatory, and each effector responded to different kinds of stimuli: The head mostly to motor influences, the eyes mostly to visual cues. Eye-in-world positions, which depend on both head and eye movements, also differed mainly depending on whether visual cues were present and less due to motor perturbations.

### 332 Short-term and long-term differences

A key question when investigating any perception-action loop is how adaptive actions are learned - how we adjust our behaviour when we do something more than once. Effects of terrain on gait stability measures are known to vary over time of exposure (Kent, Sommerfeld, & Stergiou, 2019), as do fixation patterns towards

<sup>336</sup> movement targets (Rienhoff, Tirp, Strauß, Baker, & Schorer, 2016), but whether this is also the case for

<sup>337</sup> gaze patterns has remained open. To show the change of the measured parameters across slip responses, we
<sup>338</sup> averaged events across participants sorted by *slip number* within the block and split by condition (i.e., taking
<sup>339</sup> the average of all participant's first, second, up until the twelfth slip in a given type of block; figure 9).

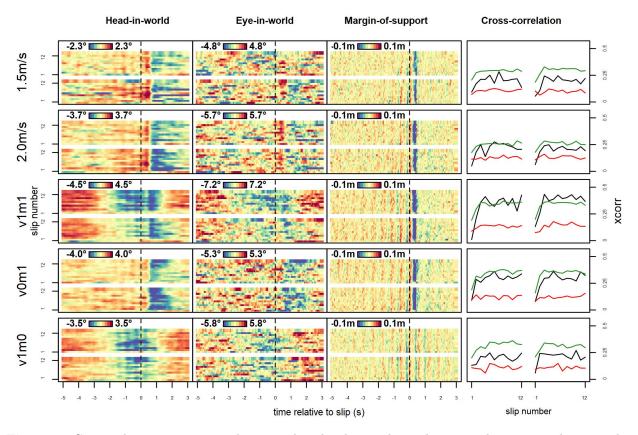


Figure 9: Gaze and gait parameters relative to slips, by slip number. The x-axis shows time relative to the slip, y-axis shows slip number. Colours indicate vertical gaze parameters and margin of support relative to the mean of each window, in m, with shading relative to the range of each parameter. Plotted are the means for the first 12 events (minimum number of perturbations presented in a block) of each of the two blocks that each condition was presented to each participant, with each row showing one condition. As each condition was presented to each participant, with each row showing one condition. As each condition was presented to each participant, with each row showing one condition. As each condition was presented to each participant in two separate blocks, the bottom half of each panel shows the first block of the corresponding condition and variable while the second half (above the white line) shows the second block. All colours adjusted for the range within each variable. In addition to clear patterns of decreases (blue) and increases (red) that may in some instances decrease over time, oscillations are also visible (as striation) in  $MOS_{ap}$ . Note that for experiment 1, data are collapsed across blocks of different perturbation probabilities. Critically, patterns visible across virtually all slips were absent in first slips for head-in-world. Rightmost column: Median cross-correlation (maximum lag: 0.2 s) for each slip with all other slips of the same participant within the same condition, indicating how typical each slip's trajectory was. Plotted are head-in-world (black), eye-in-world (red), and  $MOS_{ap}$  (green).

The pattern for most slips was similar to the one seen in the aggregates shown in figure 6, as gaze parameters (left and middle column) showed a short peak, then a sharp decline followed by a recovery after motor perturbations, and a steady decline up until short before the slip in blocks with visual cues. This pattern was somewhat noisier for eye-in-world than for head-in-world, as the latter measure was computed from two variables (head-in-world and eye-in-head) that were not complimentary and responded to different variables.  $MOS_{ap}$  on the other hand showed a sharp decline post-slip, as well as some striation indicating steps that became clearer close to the slip, as data were time-locked to the slip event which in turn was triggered by a step.

For all of these parameters, we make a critical observation: The very first slip in a block was qualitatively 348 different to all others. No clear pattern emerged in the across-subject average, as all participants responded 349 strongly, but not as uniformly as for subsequent slips. To quantify this effect, we measured how typical each 350 slip parameter's trajectory was. We computed median cross-correlations (figure 9, right) between each slip and 351 all other slips (a leave-one-out approach) of the same participant and slip condition (highest cross-correlation 352 with a maximum lag of 0.2 s, which was chosen to make sure that trajectories were not separated by a full 353 step). Median cross correlations were moderate, ranging from .12 for eye-in-world to .23 for head-in-world 354 and .31 for  $MOS_{ap}$  when collapsed across trials and conditions. Within conditions, we saw a noticeable jump 355 from the first slip of each block to all others as values for these two slips (with medians between .08 for 356 head-in-world in blocks with motor perturbation and .20 for  $MOS_{ap}$  in blocks without motor perturbation) 357 fell outside the ranges for other slips in almost all types of blocks, but virtually no increase afterwards (linear 358 slopes  $x corr \sim slipnumber$  ranging from -.001 to .004). Unsurprisingly, while the v1m0 blocks without motor 359 perturbations had the lowest *median* cross-correlations, *first* slips of each block in this condition showed the 360 highest levels of similarity to other slips. 361

The first slip's special role has been pointed out before (Marigold & Patla, 2002), but what is more 362 surprising is that in the second block of each slip type (top half of each panel), the same also applied, 363 despite the fact that participants had already adjusted their response. Thus, we observe only minimal -364 if any - retention of adjustments across blocks, even when the kind of slip did not differ at all. We note 365 that participants were unaware of the order of blocks (which was counterbalanced across participants) but 366 aware what block they would be in after the first perturbation, which may have played a role as contextual 367 information (Gredin, Bishop, Broadbent, Tucker, & Williams, 2018). That said, participants tracked visual 368 cues even with the knowledge that it would not signal a motor perturbation (v1m0, see third row of of figure 369 **6**). 370

### <sup>371</sup> Summary: quick and effector-specific gaze and gait changes

We found effects on gaze and gait measures that scaled with perturbation intensity but not with perturbation 372 frequency. Notably, gaze adjustments by head movements and eye movements were dissociable, with the 373 former responding primarily to motor perturbations, while the latter was sensitive mostly to visual cues. 374 Subtle, but significant changes were observed within an experimental block: Blocks containing perturbations 375 showed lowered gaze on average relative to unperturbed walking, again driven primarily by changes in 376 head orientation. The presence of visual cues resulted in a raised gaze on average. We observed little 377 meaningful adjustments persisting between blocks, but adjustments mainly within blocks for eye, head, and 378 body parameters. 379

### 380 Discussion

In our experiments, we combined quantitative experimental control over terrain difficulty with continuous walking in a visually complex environment. In concordance with real-world studies, we found that walking on an unreliable surface prompted participants to look down as gaze was directed towards potentially relevant visual cues. In addition, our unique experimental setup allowed us to isolate the effects around perturbation events contributing to the surfaces (un)reliability. Right around perturbations, even clearer patterns emerged, and distinctly so for each condition. We observed distinct roles of head and eyes in gaze adjustment, the former being more sensitive to motor perturbations and the latter to visual cues. Interestingly, we observed an almost complete lack of carry-over between blocks - manifesting itself in adjustments of gaze parameters to motor perturbations that started anew with each block of the same condition - which suggest that in the context of gaze for walking, much of the adjustments happens rapidly and with a high degree of flexibility.

Our results show that walking on a treadmill in virtual reality behaves in many ways similarly to real-world 391 walking: Difficult terrain leads to lowered gaze (Marigold & Patla, 2007; Matthis, Yates, & Hayhoe, 2018; 't 392 Hart & Einhäuser, 2012) and lasting changes to eye and head orientation, participants tend to look where 393 they are most likely to find task-relevant information (Marigold & Patla, 2007), and gait is adapted to 394 perturbations (Kent, Sommerfeld, & Stergiou, 2019; Rand, Wunderlich, Martin, Stelmach, & Bloedel, 1998). 395 Such consistent patterns are important to establish, as of course even high-fidelity VR environments are 396 never perfect both with respect to the visual presentation and the necessarily somewhat restricted movement 397 (e.g., in our experiments we limited both walking and perturbations to the anterior-posterior dimension), and 398 differences in gait parameters between walking on a treadmill and walking in the real world have been shown 399 to exist (Dingwell, Cusumano, Cavanagh, & Sternad, 2001). 400

By having full experimental control over the timing of perturbation events despite the naturalistic 401 setting, our setup provides additional information, especially with respect to the time scales of gaze and gait 402 adjustments: We show the distinct *immediate* adjustments made as responses to perturbations and slips 403 (figure 6) within a regular walking task. We see distinct patterns for eye movements and head movements 404 that contribute to gaze responses to our slip perturbations, characterised by brief increase and then a sharp 405 dip of head movements, while eve movements were much less systematically related to slips. Judging from the 406 time course of the slip responses, the brief initial upward-movement typically occurring within app. 200 ms of 407 the slip could potentially be reflex based (Nashner, 1976; Reynolds & Day, 2005a), whereas the characteristic 408 looking-down action that followed would clearly be on a different time scale, occurring on average a few 409 hundred ms after the perturbation and lasting well over a second. This time course, along with the only weak 410 coupling of gait and gaze on a per-slip basis (i.e., very mild correlations), points towards the lowered gaze 411 being a deliberate action to direct gaze, rather than due to reflexes or the passive biomechanical slip response. 412 Isolating those events also allows us to demonstrate that changes in parameters for *entire blocks* are 413 not driven just by immediate reactions to events but persist when those are excluded. This is especially 414 relevant for the observed dissociations between eve-in-head and head-in-world, which changed as a function 415 of visual and motor perturbations, respectively. Looking only at average data of entire blocks, the latter 416 could very well have been interpreted as an artifact of motor responses to slips. However, these patterns 417 persist over entire blocks, even when post-slip time windows are excluded. This confirms that we do indeed 418 see robust and stimulus-specific changes in each parameter. We may speculate why participants exhibited 419 different changes in head- and in eye-orientation: unnecessary changes in head orientation might be avoided 420 for comfort and thus not displayed in response to just visual cues, or this may indicate a strategy in which 421 orienting the head according mainly to the felt properties of the surface and using the eyes to scan for possible 422

<sup>423</sup> new information allows observers more flexible responses. The fact that participants re- adjusted to similar <sup>424</sup> patterns in each of two blocks for each condition, specifically for head- and body-movements, is consistent

<sup>424</sup> patterns in each of two blocks for each condition, specifically for head- and body-movements, is consistent <sup>425</sup> with this conjecture (figure 9, right). Finally, it should be noted that while participants adjusted their gaze <sup>426</sup> to track visual cues, these gaze changes were generally smaller than the changes in position for the visual

<sup>426</sup> to track visual cues, these gaze changes were generally smaller than the changes in position for the visual <sup>427</sup> cues (figure 8) - in other words, the cues were not tracked perfectly and not fixated throughout. This is

consistent with work showing that difficult terrain is fixated not directly under but at a certain distance in

front of one's own feet (Matthis, Yates, & Hayhoe, 2018), and that fixating visual targets may not be an 429 optimal strategy for action when the scene is predictable (Vater, Williams, & Hossner, 2020). We refrained 430 from analysing fixations towards our visual cue due to technical challenges: mobile eve tracking tends to 431 be less precise and accurate than stationary eve tracking, in particular when there are necessarily strong 432 head movements. This is the case in our paradigm, resulting in a mean spatial error of app. 2.2° as assessed 433 by our validation procedure, see appendix. This could have been an issue for fixation analyses towards a 434 small target in a dynamic environment, which would require high precision and accuracy at any given time. 435 Conversely, our analysis is based on within-participant data using relative eve-position trajectories (for slips) 436 and block-wise averages. These measures are robust against absolute position errors and therefore our results 437 and conclusions are unlikely to be affected by this kind of error. We note also that our visual environment 438 was somewhat reduced, consisting of a simple road with walls on each side and in some conditions schematic 439 visual cues. Investigating gaze patterns while walking through a more complex environment could be an 440 interesting issue for future research. 441

Furthermore, we analysed changes over time for event responses specifically (figure 9), which shows 442 several interesting findings: First slip events are qualitatively different to later ones, not just overall within 443 conditions but also in the second block of each condition. This shows that while adjustments are strong within 444 blocks, participants were also quick to revert. Of course, this may well be a good adaptive strategy: Perhaps 445 adjustments that can be taken up very quickly do not need to be maintained for long. Another option is 446 that the reversion back to unadjusted parameters in the first slip of the second block of each condition might 447 simply be due to uncertainty about the condition, given that participants had information about which block 448 they were in only during unperturbed blocks. If this was the case, however, it would be interesting that 449 participants would not err on the side of caution - preparing for a slip when a visual cue is approaching that 450 has previously occurred with a motor perturbation seems like a more prudent strategy than not doing so. 451 Nevertheless, not knowing whether there would be slips remains a possible cause, given the role of uncertainty 452 in other tasks involving eye movements (Domínguez-Zamora, Gunn, & Marigold, 2018; Sullivan, Johnson, 453 Rothkopf, & Ballard, 2012; Tong, Zohar, & Hayhoe, 2017). It is worth pointing out that for our young 454 and healthy participants, the costs of falling, to be weighed against the costs of large and lasting changes 455 to gait, would not be as high as they would be for example for older participants, for whom the costs of 456 a potential fall are huge (Hadley, Radebaugh, & Suzman, 1985). This group indeed displayed noticeably 457 different eye movement patterns in real-world situations (Dowiasch, Marx, Einhäuser, & Bremmer, 2015), as 458 well as smaller adjustments than younger participants in other locomotor tasks (Potocanac & Duysens, 2017). 459 Testing how gaze adjustments to gait difficulty vary across age and between individuals in a controlled - and 460 safe - setting may therefore be an exciting avenue for future research. 461

### 462 Acknowledgements

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# 468 Movies

Four movies to be included in the paper (roughly where the stills are in this pdf) are available at https: //osf.io/umw5r/?view\_only=01331c3c857548ee9ccb6edc6fd226c6:

- 471 1. Movie 1 (slip1.mp4), a participant walking and slipping from three angles (from behind and side views),
  472 as well as the participants' head-cam view. Footage from one of the first slips of this participant, in
  473 experiment 1 (i.e., without visual cues).
- 2. Movie 2 (slipWcue.mp4), head-cam view of a participant in experiment 2 walking with perturbations and visual cues (v1m1 condition). As the participant traverses each of the two blue-ish rectangles, one belt of the treadmill accelerates to induce a motor perturbation.
- Movie 3 (calib.mp4), head-cam view of the eye-tracker validation procedure. As 20 red dots are presented
  on the screen in a pre-defined order, the participant was asked to always fixate the one that was visible.
  Head movements were explicitly allowed. These recordings were used to validate that the eye tracker
- 480 was able to record data of sufficient quality for further analysis.
- 481 4. Movie 4 (countdown.mp4), head-cam view of the countdown to walking and the participant starting to
- 482 walk. This countdown was always displayed after the validation and always showed the participant
- number, block number, and how many seconds were left until the treadmill would start.

# 484 Appendix

The eye-tracking device was calibrated once at the start of the session and whenever the participants removed 485 it in a break. To test the accuracy, precision and stability of this calibration, we introduced an independent 486 validation procedure. Each block was preceded by a 20-point validation procedure (movie 3). The validation 487 error was rather large for these 20 points (median over all data: 5.5°; figure 10). However, within each 488 participant, direction and size of the error was consistent across the visual field, such that when we corrected 489 for an overall shift of the pattern using the central point, the error across all points reduced to  $2.8^{\circ}$  (figure 490 11), and to 2.2° for the central area of the display, which accounted for over 90% of gaze directions (figure 8). 491 Over the course of a block, the thus corrected calibration did not drift to a relevant extent. We quantified 492 this by applying the corrected calibration to the validation grid of the subsequent block and found the shift 493

 $_{494}$  to be only 0.3° on average from the start of one block to the next.

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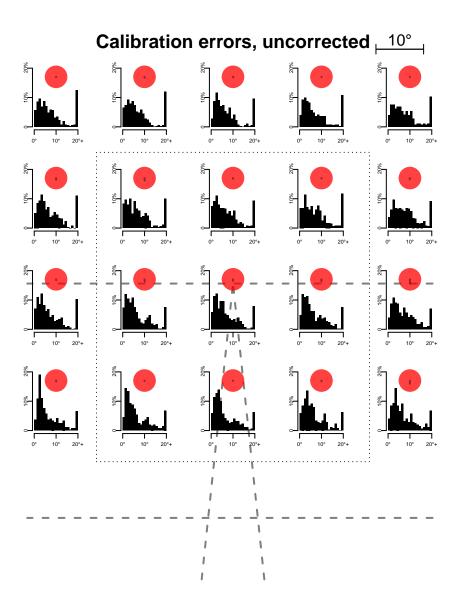


Figure 10: Distributions of calibration errors. As no motion-capturing data were recorded during calibration phase, we compared gaze positions eye-in-head with the position of red calibration dots retrieved from the head-cam videos. Periods of fixation were selected from the video by independent annotators for each fixation point separately. Within the thus identified period, we selected the 100 ms interval, in which gaze was closest to the fixation point and took the maximal Euclidian distance within this interval as error measure for the respective block and participant. Shown are data across all blocks in both experiments combined. Bins for  $20^{\circ}$ + may include dots where the automatic detection did not work as intended, so that error medians are likely slightly overestimated. Dashed lines indicate the outlines of the treadmill and virtual road (visible during calibration), bottom of the screen, and virtual horizon. Size of the red dots is scaled approximately as in the actual display, degrees of visual angle shown in the top right corner. Errors were sometimes considerable, especially further from the centre of the screen. Lines from the center of each dot outward depict mean bias (in the same scale), which was minimal. Median absolute error was 5.5 °, virtually the same in the center (within the dotted rectangle; over 90% of gaze was allocated here, see figure 8) and in the periphery (outside the rectangle), at 5.6 ° and 5.25°, respectively.

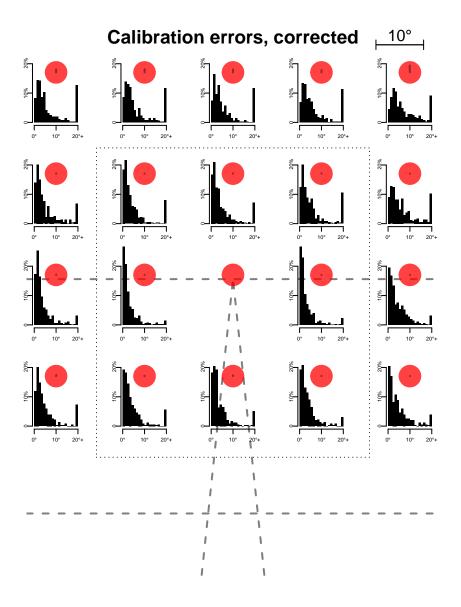


Figure 11: Distributions of calibration errors, corrected for each participants' bias. Errors were computed as described above and then corrected for the median error in x- and y-direction of the respective participant for dot appearing at the vanishing point. We see a markedly improved accuracy compared to the uncorrected data (median error: 2.8 °), indicating that within-participant effects were unproblematic for the measures and analyses considered. This improvement was especially marked in the center of the display (2.2 °) compared to the periphery (3.4 °), which is unsurprising given our choice of correcting for the error at the lower central dot. Notation as in figure 10.